An Overview of the *n*-dim Environment

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Sean Levy[1], Eswaran Subrahmanian[2], Suresh Konda[3],
Robert Coyne[4], Arthur Westerberg[5], Yoram Reich[6]

Email Addresses:
snl@cmu.edu[1], sub@cmu.edu[2], slk@cmu.edu[3],
coyne@cmu.edu[4], aw0a@cmu.edu[5], yoram@cmu.edu[6]
Fax: +1 412 268 5229
Voice: +1 412 268 5221

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Abstract

The premise of our work is that designers, in the process of doing their work, create *models* of various kinds, for various purposes, and that it is the negotiation of the structure and content of these models that comprises the bulk of the task of doing design. We give here an overview of a framework for enabling designers to capture and structure as much of the information they use and generate as is possible. We have designed and implemented such a system for creating models in a computer that can be

- shared with other designers in the course of an ongoing design,
- made persistent for future recall,
- classified and categorized so as to facilitate both the study of how design is done in a given organization and the study of design in general.

Our system is generic enough to be useful in domains outside of design, and we posit it to be useful in general for anyone who needs to manipulate information in a structured way, an activity called *Information Modeling*. The acronym chosen for the system, *n*-dim, stands for *n-d*imensional *i*nformation *m*odeling, to indicate the authors' view that the total space of information under consideration is multi-dimensional in nature.

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1 Introduction

This report is a compendium of the work of the *n*-dim research group in that it is the central repository of all previous and ongoing work conducted by the members of the group collectively and individually around the project. As such, it is a "living" document and is expected to be highly dynamic.

n-dim stands for n-dimensional modeling and represents both a research program and a computer software artifact. As a research program, it is a series of on-going research projects on the theoretical and empirical aspects of design practice. As an artifact, it is both

an embodiment of the lessons learned, and a test bed for testing some of the hypotheses generated from, the former.

In the second section we indicate the motivation and background upon which *n*-dim is based. The third section contains a conceptual description of the *n*-dim design environment with the fourth section containing details of the current implementation. The fourth section gives details of applications and extensions and the final section concludes the report.

2 Motivation and Background

In this section, we seek to motivate *n*-dim from its origins in the study of design and the way designers work. It should be noted that *n*-dim as it is developed in this document is not meant to be the final solution to the problems raised here; rather, it serves on two fronts: first, as a tool to gather empirical data on a rather broad domain of which little is really known (design), and second as a test bed for trying out solutions to some problems already well established in this domain (for instance, the creation and maintenance of shared concept networks within groups of designers).

There is a chicken-and-egg problem here, which is common in enterprises such as *n*-dim. On the one hand, there is not really enough data available to propose, *a priori*, a workable solution to the total problem with any confidence. On the other hand, in order to gather the necessary data, one needs a working system that will exhibit (we posit) at least some characteristics of such a solution to even get started.

We will first present an overview of the underlying observations and principles behind our approach, and then proceed to give more a more detailed description of background studies and information that have informed the evolution of this approach.

2.1 Modeling and Design: Overview

In the course of designing things, designers make models of various kinds, depending on what kind of designers they are and what they are designing. By "designer", we intend to take in the full range of possible assignations: engineers, architects, writers (of documentation as well as of other sorts), managers, marketing people all are involved, in some sense, in some sort of design.

The models that people make vary, both according to the domain in which they are working, which may include standard formulas for accomplishing certain things,[1] as well as according to personal preference and judgment (presumably based on experience with past designs).

From studies of design, several interesting features of how designers work in various organizations have been uncovered vis a vis modeling:

[1] Standards either being taken from the work of standards-setting bodies like ISO and ANSI, or from the policies and procedures of the particular organization or discipline in which the individual works.

- Different designers (and groups of designers) use different vocabularies to describe the same or very closely related sets of things.

- Engineers typically spend at most 15% of their time doing standard analytical tasks [10], the rest of their time being spent *negotiating* various aspects of the design, including the structure of the task of doing the design itself.

- Individuals tend to organize information in ways understandable to them, generally in the form of sketches and notes. There is usually substantial overhead incurred in the process of *merging* all of the individual representations in a design team into a single, coherent view.

From these and other observations, we have developed a notion of an Information Modeling environment which differs substantially from similar concepts developed elsewhere (e.g. in the database and AI literature [12]).

One of the key elements of *n*-dim is the focus on the human user instead of on computational entities. This is partly due to the emphasis on the gathering of empirical data using *n*-dim, and partly due to the conviction that the real difficulty lies in an area not easily susceptible to automation, namely, negotiation.

2.2 Modeling and Design: Background

Through the course of several empirical studies (our own and those of others), it became clear the a large emphasis must be placed on supporting and capturing information used for negotiating and creating a shared understanding of the design task, as well as capturing, as much as possible, the negotiations themselves [6]. Our focus thus became more and more one of providing an environment in which designers can collaborate, in the broadest possible sense. The next two sections will provide a summary of both the empirical studies themselves, as well as some problems involved in collaborative (design) work.

2.2.1 Empirical Studies done by EDRC

EDRC has been involved in a number of empirical studies of design in the area of electrical connector design [27], power system control design at Westinghouse Electric Corporation [23] and transformer design at Asea-Brown-Boveri [11]. We also studied the problems of gathering and making accessible materials information in Alcoa Technical Center across divisions that generate data on properties and performance of materials [24].

In these studies, we identified functional requirements for a design environment to manage and organize dispersed documents, drawings, and other forms of information. One of the most fundamental of these requirements was the ability to foster both individual and group efforts.

In the study of transformer design, we identified functional requirements to facilitate the accretion of, and access to, institutional memory (*viz.* "shared memory" in [16]), both as it relates to design and to the activities surrounding design (marketing, testing, quality assurance, delivery, etc.) in a large, multi-national organization.

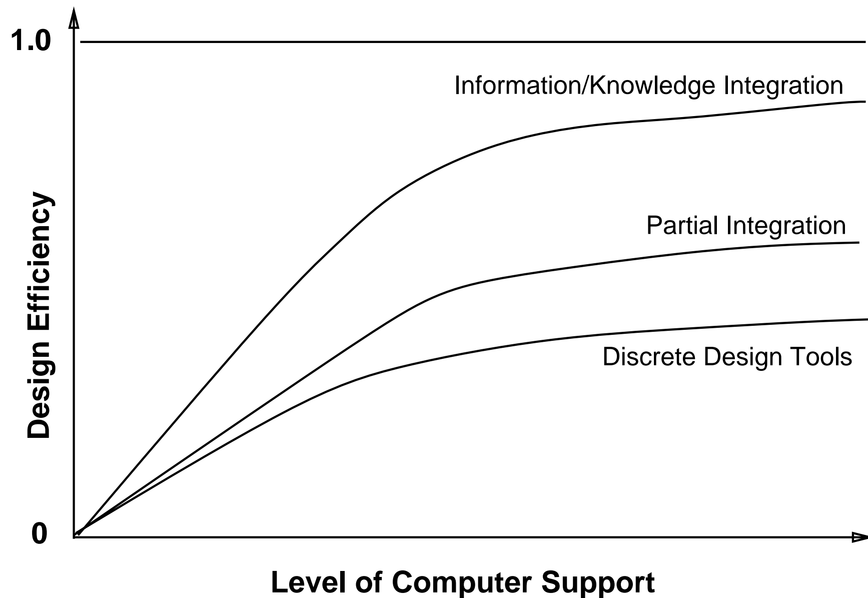

Figure 1: Design Efficiency vs Level of Computer Support

The results of the study show that intra-project and inter-project information flows are not integrated in the current practice of design. Some key deficiencies observed include:

- stand-alone tools are insufficient in producing high quality designs if they are not integrated and maintained in the context of design practice.
- many errors are due to miscommunications and incomplete information integration.
- a partial integration of analysis tools is insufficient to achieve design efficiency.

In Figure 1, we illustrate the relationship over time between design efficiency and the level of computer support. Efficiency must be achieved through the integration of the information technology in the context of the overall process. Our observations about the design process and the need for integration of information is supported by other studies such as the comparative cross-national automobile industry study by Clark and Fujimoto [5]. They have established a clear inter-relationship between integration of problem solving and design efficiency.

In Figure 2 we illustrate the critical functionalities that an design support system must provide based on the experience and the role of the design engineer. The nature of design practice appears to vary systematically between designers of varying skill and experience levels [11]; as a consequence, the nature of the information available to and the assistance required by designers various along the same dimensions. Nevertheless, the synergistic and returns-to-scale from a common system across all levels of designers implies that the design system should support a range of requirements.

The novice requires the maximum level of support including being alerted about problems and guidance in what to change in a design. Experts, on the other hand, need

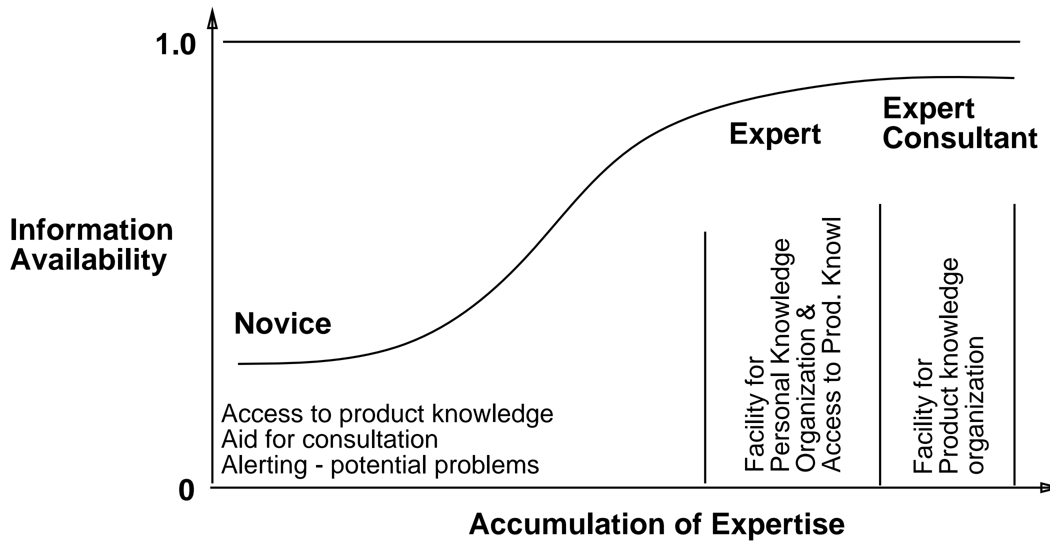

Figure 2: Function Requirements by Experience of Designer

support more in the area of managing personal and organizational product knowledge and access to a corporate-wide memory bank of design experiences.

Without the ability to support a variety of functionalities, design consistency and quality cannot be achieved easily. Therefore, the design system should not just integrate information, but must also meet the functional requirements of the range of participants, from novice to expert, in the design team. The need for management of cross-functional information content and complexity, and the facility to maintain individual and shared (i.e. product) information were both apparent in our studies of design [11] [23], and lead to the central importance of the ability to view the *same* information in multiple ways and to index that information in idiosyncratic ways in order that individual access and recall be made more easy and meaningful.

Another critical issue discovered in the study was the need to provide for terminology differences that had to be taken into account based on the requirements of the customer in various parts of the world, along with cross country variations in the standards information.

The same conclusions could be drawn in the Alcoa project, where the divisions were located in the same location but the terminology differences in the existing materials data and information had to be reconciled for use across multiple projects, divisions, and products. Here again the necessity for maintaining multiply cross indexed terminology was identified as a fundamental requirement, and as a solution, a preliminary material information system embedding these functionalities system developed.

2.2.2 Problems in Collaborative Work

To be effective in practice, concurrent engineering requires access to and organization, communication and negotiation of knowledge accumulated over time and across product versions and customers. Studies conducted by us and others indicate that design is

a continual negotiation of constraints, terminology and trade-offs for the creation of a shared understanding and meaning of the design process and product.

For effective communication between members of the design group there must be consensus on the

- naming (i.e., a shared semantic understanding of relevant terms and concepts)
- constraints (on manufacturing, performance, disposal etc.)
- problem decomposition
- design trade-offs.

Without such agreements, effective communication and coordination of work cannot occur. However, such agreements cannot, in general, be imposed from the outside but must be generated by the design group consensually. In order to facilitate reaching consensus, the design environment must be conducive to conducting and capturing a dialog among the engineers. This become especially critical when, for a various reasons, there is an absence of face-to-face contacts. This situation can arise when, for instance, design teams members are separated by significant time and distance or when designers belong to multiple teams making their physical presence at each team meeting prohibitively expensive in terms of both money and designer burnout. Hence, individual engineers must be able to participate in this dialog in an asynchronous manner – different time and different place. However, in order that the dialog not "drift" over time, it is critical that the context of the dialog be maintained with maximal fidelity and in particular without loss of the time sequence and identity of the exchanges (i.e., "who said what to whom when").

In order to facilitate dialog to effect asynchronous collaboration we need to distinguish between three important aspects of information used in design:

- Information comes in a variety of *representational forms* including sketch, picture, gesture, text (oral and verbal), table, geometry, layout.
- The information is exchanged in a number of *media* including, paper, face-to-face, computer, video, film.
- The representational forms exchanged in these media come in formal to informal *modes of communication*: reports, memos, e-mail, equational, functional and geometric configurations and descriptions.

Just as important as the form, media, and mode of information in design is its heterogeneity. Large scale design projects usually must coordinate expertise from many different disciplines representing the functional decomposition of the artifact being produced. The management of this diversity is the management of cross-functional information in both its content and its complexity. Moreover, the companies involved in large-scale design are themselves many-faceted involving a number of different departments devoted to design engineering, engineering analysis, manufacturing, quality assurance, suppliers,

subcontractors, procurement, legal matters, fiscal matters, marketing, customer service and management. All of these departments plus the sub-departments in charge of various parts of design must manage their own information gathering and production. More important, these separate information and knowledge resources have to be shared and coordinated if successful design is to be accomplished. Our studies of information exchange in design tasks have been crucial in guiding the development of *n*-dim for the management of work and information in design.

Coordination and management of group activities and work-flow requires that information be made available in a meaningful form at the appropriate time. It is the creation of and access to meaningful information with the seamless integration of these varieties of information used in and created during the design of the product, is what we term information management.

Information capture and structuring depends on which representational forms, media, and modes of communication are used. For example, information techniques useful for text management are not useful for graphical information. In fact, if such forms are not appropriately distinguished in the flow of information, they can interfere with and distort one another. On the other hand, organizational units or concepts extracted from textual information can be useful in classifying graphical information such as sketches, drawings. etc.

While the computer is the target medium for information management, care must be taken to transfer information from other media to it. Insisting that engineers use only the computer would be counter productive. Hence, the results produced on these other media must be transferred to or interlinked with the computer. However, tasks performed in other media like writing and sketching with paper and pencil can be simulated in the computer using such technologies as electronic tablets as input devices.

Finally, information must be evaluated differently according to the communication mode in which it is exchanged. For example, most often information coming via e-mail has to be treated differently from information provided in a report or technical article.

In summary, extensive studies across multiple design domains, cultures, and organizational context lead us to put forward the following "shalls" as a starting point for the design of *n*-dim:

The support environment should enable design and design management to be carried out within the same uniform information modeling environment. Facilities should be built into the environment that will enable designers to create shared structures of information (text, geometry, layout, sketch, pictures). The environment should also permit asynchronous group activity. Finally, facilities are required to enable retrieval of information by designers with diverse views of that information.

3 Conceptual Description

In this section, we attempt to give first a brief overview of the way in which *n*-dim allows one to model information, and then elaborate on certain key elements of this representation, as well as operational issues associated with using it. Detailed discussion

of the implementation of the system is deferred to Section 4.

The space of objects in *n*-dim is conceptually flat; that is, objects do not, in any physical sense, contain other objects. Instead, multiple structures can be imposed on this flat space by means of special objects called *models*, which are comprised of *links*, or relationships between objects. In this way, the same object may participate in many models.

n-dim is implemented in a prototype-based object system called `BOS`, the Basic Object System (see Section 4, below). Since it is prototype-based, there are no classes, per se; rather, any object is a potential prototype for another object. For more information on prototype-based object systems, see[26].

There is a basic cleavage in the space of *n*-dim objects between *atomic* and *structured* objects. As the name indicates, *atomic* objects cannot be broken down any further, e.g. an integer, a link, a piece of text, an image, an audio bitstream, etc. One could think of atomic objects as things that have *values* of some sort.[2]

The primary form of structured object is the model. A model is a set of links, which are, themselves, atomic objects. The value of a link object is a 3-tuple, *source,target,type*, where *type* is merely a label for the link; link types are given their meaning(s) by the modeling language(s) in which they occur.[3] There is one special link type which is known to the system: the `part`[4] link. By convention, `part` links are displayed as boxes inside of boxes, whereas all other kinds of links are displayed as directed arrows (but, of course, presentation is highly malleable). For instance, in Figure 3, the model `M` contains three links; textually, it could be stated as:

```
M:
  [M,A,part]
  [M,B,part]
  [A,B,relatedTo]
```

The two part links state that `A` is a part of `M` and `B` is a part of `M`. Both `A` and `B` could also be the targets of thousands of other `part` links, and thus appear/participate in thousands of other models.

Models play (at least) two roles in *n*-dim: instance/prototype and language.[5] All objects, whether structured or not, are constructed using another model as their *modeling language*. A model is a language in *n*-dim in so far as one asks *n*-dim to create an object with *it* as its language. Typically, modeling languages specify what objects can be in the model and what relations they can have to one another. Such specifications can be thought of as grammars. More formally, the grammar defines:

[2]The creation of new atomic object types generally requires some programming, since new types of values often indicate new types of fundamental operations.

[3]It is quite possible to have the same link type mean totally different things in different contexts; we view the meaning of links as something to be *negotiated* by users of the system over time. Operationalizing the semantics of particular interpretations of links is considered an open-ended process; *n*-dim provides mechanisms for doing so, but does not require it to be done in order to use a link type.

[4]This is a link internal to *n*-dim. Consequently, user-level part links are quite legal.

[5]We will use the terms “instance” and “prototype” somewhat interchangeably in what follows, since, in a prototype-based system, the two concepts coincide; the different connotations are useful in distinguishing various *uses* of a model, however.

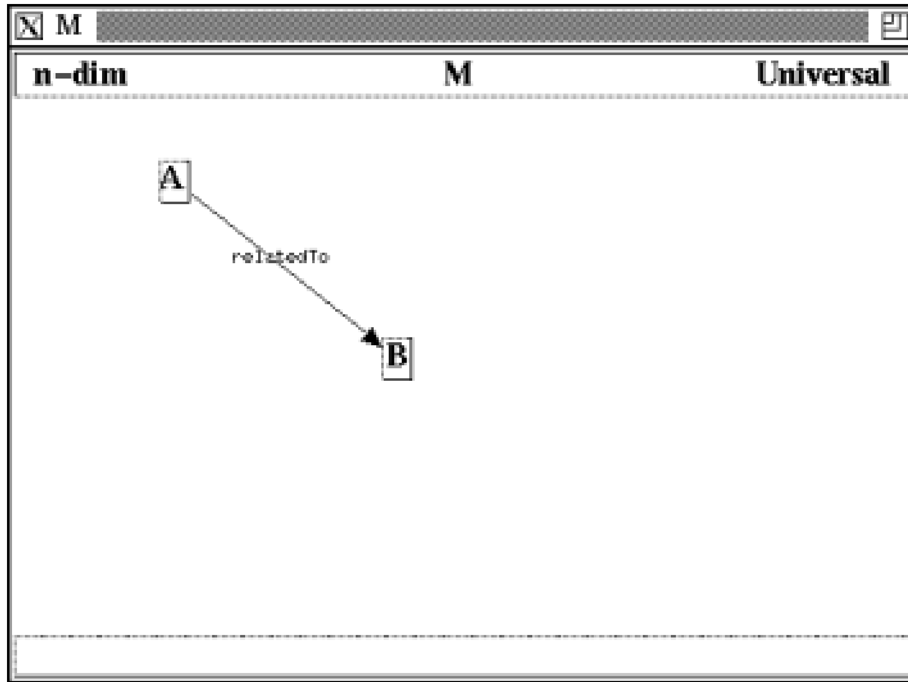

Figure 3: A simple *n*-dim model

- the set of legal parts which models in that language may contain;
- the set of legal link types or labels between parts of models in that language;
- rules for composing legal links from the set of legal parts and the set of legal links.

Normally, one would expect an *n*-dim model intended for use as a modeling language to have as parts only other modeling languages; that is, in some form of a "meta" language. However, there is no such constraint in *n*-dim; any object can be used as a modeling language. If, for example, one were to ask *n*-dim to use an `Integer` object[6] with the value 1 as a modeling language, one would get an object in the language `1`, which could only have as its value the number 1. The grammar has one sentence. Consequently, *n*-dim models can operate as both instances and prototypes.

As an example, Figure 4 shows a model called `TASK` which, when interpreted as a modeling language, would allow creation of models containing `BASICTASK` objects, `TASK` objects, and, further, allows a `precedes` link to be created between two `TASK` (or `BASICTASK`) objects.[7] In BNF, the grammar would be:

```
TASK := BASICTASK | TASK p TASK;
```

[6]Note that `Integer` objects are atomic!

[7]That is, the grammar is recursive. Also, we have not defined what `BASICTASK` is, but it would presumably be some form of textual object.

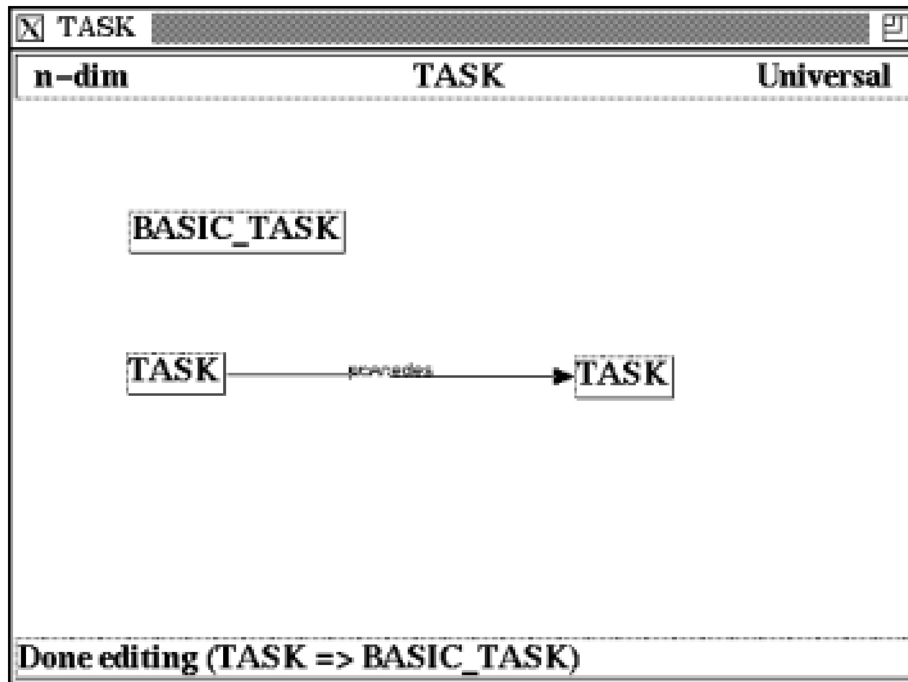

Figure 4: An *n*-dim modeling language

Any object in *n*-dim can also have *operations* (methods; see Section 3.1.4, below) defined on it, which are currently implemented as pieces of code (`C` or `Tcl`[8]). When a model is used as a language, any operations defined for it are *inherited* by models that use it as a language. In this way, the notion of a modeling language is similar to, but not the same as, that of a *class* or *type* in the tradition of object-oriented programming. One of the many ways in which we have taken advantage of the prototype-based object system is in connection with operations; since any object can be modified on the fly (assuming it is not published, see below), individual *instances* can have methods (and other slots) defined for them.

Finally, there are several built-in modeling languages in *n*-dim. The first two models given as examples above are in the `Universal` modeling language, which allows any kind of object, and kind of link, and any composition to be created in it. In addition, all basic atomic objects have an associated language, which packages up the exported operations on things in that language as far as other *n*-dim objects are concerned.[9] There is also a `Rule` modeling language, which allows for the construction of predicate-consequent structures in terms of basic *events* defined in the system (such as object and link creation, deletion, etc. See Section 3.1.4, below). Such rule models can be attached to a model (and thus to a modeling language) to implement semantics; for instance, the above statement of the `TASK` modeling language will allow for the structuring of tasks in terms of precedence

[8]`Tcl` is a light-weight interpreted language which can be easily embedded into C programs and is used extensively in *n*-dim [19].

[9]This is yet another way of looking at modeling languages

and, via recursion, by subtasks. It does not, however, place any restrictions on the number of tasks allowed in a model of that type, nor does it disallow circular task precedence linkages, both of which might be desirable. To do so would require the creation of rule models which, when links are created, check that the attempted construction is not only grammatically correct, but semantically correct as well.

Given this overview, we will now delve further into the way in which *n*-dim objects are represented.

3.1 Representation

n-dim objects all have certain *attributes*, regardless of whether they are atomic or structured. In addition, structured objects can have their structure *projected* in a multiplicity of ways, which is important *vis a vis* their interpretation as languages. All objects can be presented in different ways which can be extended by users of *n*-dim. Finally, rules, events and operations are related in a number of ways.

3.1.1 Attributes

Preliminary to any attribute is the issue of naming. All *n*-dim objects have names unique within the universe of *all* *n*-dim objects. This name is system-generated, and utilizes various pieces of information to be found in any modern, networked environment[10] to generate the name. Thus, the real name (or just name for the remainder of this document) is generally not known to ordinary users of *n*-dim; this name is not to be confused with any *title* (or, interchangeably, *label*) given to the object.

Attributes come in two flavors: intrinsic and contextual. The intrinsic attributes of an object cannot be separated from it, and, properly speaking, *define* the object. They do not change with the context an object is in (e.g. a model it may be part of), and are stored as physical slots on the (underlying) object.

By contrast, contextual attributes are attributes associated with an object in a particular context or model. An object can have many values for the same contextual attribute, and *n*-dim decides which one is appropriate by context. One associates contextual attributes with an object by creating an `ATTRIBUTE` model containing the object, the name of the attribute, the model(s) in which the object has this attribute and, optionally, a value or set of values for the attribute in those models. Certain attribute names, such as `title` are known to *n*-dim to mean specific things; one can impose such semantics by writing code to perform arbitrary actions when an object is viewed in a context. For example, one could associate a `shape` attribute with an object in certain models and then write code to be invoked to interpret the value of the `shape` attribute in order to render the object specially when viewed in those models.

The set of intrinsic attributes in *n*-dim has been kept to an absolute minimum.

Owner/Creator. The person who created the object. People are also objects in the system, so this is the name of an object.

[10]Network address, system time and time zone, numeric user ID, etc.

Time created. The time the object was created. An integer.

Time last modified. The time the object was last modified. An integer.

Title. The label given by the owner upon creating it. While the title can be changed by the owner – if the object is not published (see section 3.1.2) – it is essentially inherent – in that it is not contextually variant.

Published. Whether or not the object has been *published*. See 3.1.2, below, for a fuller description. This is a boolean flag.[11]

Language. The name of the model which is this objects language.

Access. The name of the model which describes who has access to this object.

All of the object-valued attributes (creator, language and access) must be published.

While the *title* (or *label*) of an object is an intrinsic attribute, a very important feature of *n*-dim objects is that they can have different titles in different contexts. This is achieved by providing a contextual "alias" attribute which allows a user to refer to the same thing with different names in different contexts and still have it be *intrinsically* the same thing.[12]

The access attribute deserves some special mention. Every object has an access-control model,[13] which describes who has what kind of access to the object. It is possible to hide even one's published objects from outside view, if so desired. Access models are simply the *n*-dim form of an access control list, with some embellishments. In order to be activated, an access model must be published; to change the access model for an object, the old one must be copied and the copy published. It is thus also possible to ask *n*-dim questions such as "who had access to this object on this date?", since the whole chain of access models for that object is available. This is a very important point, since anyone who had access to an object could've copied it, even if their access had been rescinded at a later date.

3.1.2 Persistence

All objects have one and only one owner and reside, conceptually, in only one place. An object's ownership can never be changed, and is set at the time it is created. If an object is copied, then the copy will be owned by the person making the copy; however, pedigree information will be retained, so that the owner of the object so copied can inquire as to what use (i.e. what copies) has been made of his object. This pedigree information is in

[11] We are experimenting with the notion of "sticky" models, which are write-only. Such a loosening of the notion of publication would permit certain forms of synchronous collaboration within *n*-dim without introducing the entire range of difficulties (consistency management, locking, etc.) associated with synchronous collaboration systems.

[12] In version 0.91, this is not actually implemented and was found to be one of the major limitations of the 0.91 prototype. Version 1.0 remedies this by means of the more general answer to the question of attributes given here.

[13] In a built-in language called `ACCESS`.

the form of a system-generated (and system-maintained) model, which links the copied object to its copies; of course, this model is available to the user like any other model.

When an object is first created, it is malleable, but only in so far as its owner is concerned. Until it is *published*, no one but its owner can even know of its existence.[14] The act of publishing an object is similar to the notion of publishing a paper; once the paper is published, its author cannot go to all of the libraries in the world and remove it from circulation. In the same way, publishing an object makes it visible to the rest of the world and, at the same time, immutable, even to its original author. If one wants to change a published object, it must be copied, and the copy revised, at which time the pedigree-maintenance mechanisms described above are activated. In this way, the path any published object has taken through its many copies can always be traced.

To publish an object, everything that it depends on must be published, namely, all targets of `part` links with the object as source. One is thus guaranteed that when a published object is manipulated, even some time (e.g. years) after it was published, it will behave exactly as it did *at the time of publication*.[15] This is a critical property of published objects, and one reason for the way in which it has been formulated in *n*-dim.

An example will serve to both crystallize the concept of publication and show why we have formulated it in such a fashion. Consider an *n*-dim model containing a piece of computational software. If it were to be published, then the compiler, linker, and libraries which together could be shown to work (by, for instance containing test cases and results) would also be published and frozen. Years later, if one were to use (reuse) this software, it would be possible to recreate the original program quite faithfully. While perhaps extreme, the example does show the need for some rigid specifications regarding persistence of objects and their contexts for them to be of real use in the design situation where, often, it is very difficult to reconstruct the why and how of often reused pieces or approaches.

Published objects can never be destroyed, although *n*-dim does have a notion of archival vs. active storage, in the same way that libraries move little-used books and material to less easily accessed but more efficient storage, such as microfiche.

3.1.3 Structures, Projections and Presentations

The fact that one can create *types* (e.g. modeling languages) in the same way as one creates instances, and refer to the normally in other types raises certain issues of interpretation. In addition, it is quite often desirable to see the same structure through different *filters*. For instance, one might have a very large and complicated model which one would like to view with certain link types hidden, or with certain parts hidden. All of these considerations are dealt with by the structure, projection, presentation split in *n*-dim.

Every structured object can potentially correspond to a set of models describing var-

[14]In the sense that the results of a search are always either objects owned by the searcher or published objects.

[15]In order to be used as a language, a model must first be published; therefore, any models created using that language are also guaranteed that their language will not change out from underneath them.

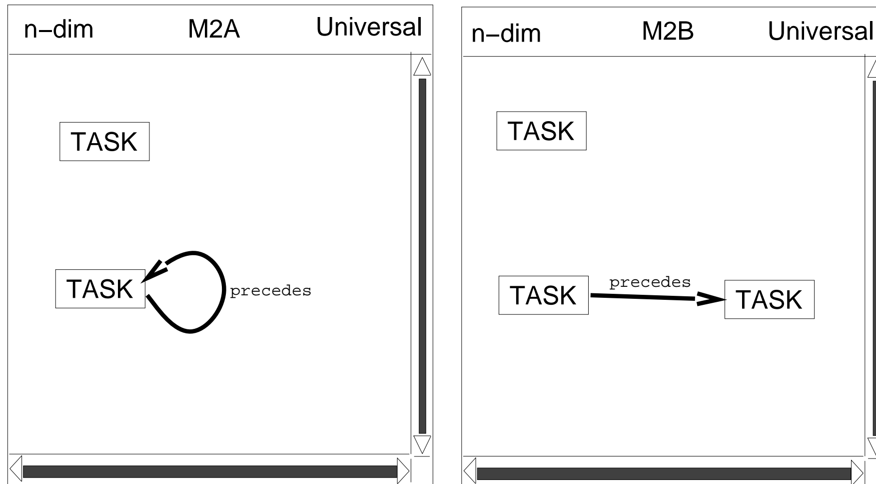

Figure 5: Two projections of a model

ious aspects of it. There are three basic layers: structure, projection and presentation.[16]. Projections serve to distinguish between different topological views of the same structure, each of which can have a different meaning. For instance, Figure 5 shows two projections (which are, of course, models), `M2A` and `M2B`, of the structure `M2` whose textual representation could be something like:[17].

```
M2:
 [M2,TASK,part]
 [TASK,TASK,precedes]
```

Note that all of the appearances of `TASK` have the same `label`, since they are all reflections of the *same object*; in fact, the user would not be able to distinguish between them. While the different projections are legal models *qua* model, they have different meanings when interpreted as languages.

Our example in Figure 5, interpreted as a language, describes a class of models that could be called *task-flow* models. It can be seen why projections are so critical in this context. The `M2A` projection of `M2`, when interpreted as a language, says that one can make models containing `TASK` parts linked to *themselves*. The `M2A` projection, on the other hand, says that one can make models containing `TASK` parts linked to *other* such parts. Textually:

```
M2A:
 [M2A,TASK1,part]
 [TASK1,TASK1,precedes]

M2B:
```

[16]Atomic objects have only the first and the last, projections being nonsensical for them.

[17]This is an expansion on the previous example involving the `TASK` model; see Figure 4

```
[M2B,TASK1,part]
[M2B,TASK2,part]
[TASK1,TASK2,precedes]
```

The `TASK1` and `TASK2` objects are *mirrors* of the same object, `TASK`. In this way, a different topological view, to take a more mathematical slant on things, of the same structure is achieved.

There is thus a one-to-N mapping between a structure and its projections; *n*-dim creates a default projection for a model when it is created, and additional projections are created transparently by interacting with the system. That is, creating a projection is not something the user does explicitly, it is something that *n*-dim does for the user.[18] In the same way, there is a one-to-N mapping between projections (or structures, for atomic objects) and presentations, which are also models. Presentations contain reflections of the actual objects (e.g. the parts of the projection or structure being presented), in the same way that projections contain reflections of the underlying structural objects. The reflections in presentations, however, are quite different: they are aggregations of objects that define things like colors, geometry, and other presentation-related aspects of the object. One can thus present the same underlying structure in totally different ways by viewing it through different projection/presentation models. It should also be noted that the underlying database of objects can be partitioned to effect more efficient search; the space of objects of primary concern in most (user-initiated) searches is the space of structures. For instance, the user wants to know of a models containing `part` links to textual objects created by themselves between two dates; this is purely a query on the structure. The corresponding projections and presentations of the resulting models could be stored by the system in an entirely different manner or place.

3.1.4 Rules and Events

Suppose we extended the task-flow language above to include a link called `assigned` whose source could be a `TASK` and whose target could be a `PERSON` object. Let us further say that `PERSON` objects can have an attribute called `HOURS-WEEK`, which records the number of hours per week that person has had assigned to tasks, and that `TASK` objects can have a `HOURS` attribute, which states how many hours the task takes per week. One might then want to refine the task-flow language such that an `assigned` link would be disallowed if the `HOURS-WEEK` of the `PERSON` plus `HOURS` of the `TASK` were greater than or equal to some value.[19] The *n*-dim mechanism for doing this is a `Rule` model. Simply stated, modeling languages define the space of *syntactically correct* models, but not necessarily the space of *meaningful* ones. To implement semantics on a modeling language,[20] one creates a `Rule` rule model.

Every user or system action in *n*-dim generates an *event*, which is broadcast to the appropriate groups of processes. The kinds of events include:

[18] Of course, it is quite possible to write code to explicitly create projections.
[19] In the United States, 40.
[20] Of course, one can also do so for an instance as well.

- creation of an object (including links),
- destruction of an object,
- invocation of an operation (method),
- publication of an object.

All events are available in the *system event stream*. Internally, almost everything a user does generates an event, which can fire any number of rules. Rules are structured as a set of *predicates* and a *consequence*, with the predicates being a composition of boolean operations on events, and the consequence being, at the moment, a piece of code.[21]

Depending on the type of event, certain arguments may be available for a rule to *match* against, e.g. a rule might declare its interest in creation of a certain type of link in a model (or in instances of a modeling language). In this way, *n*-dim actually resembles a large, distributed production system, which some additional structure.

When fired, a rule can return a value to the system that will influence the further processing of the event (or the event that caused the event). Specifically, a rule can

- allow the operation to continue;
- raise an error, which will cause the termination of the operation in progress;
- raise an warning, which will present the user with a message and the option to proceed or not.

In addition, rule consequences can produce *side-effects*, which can, in turn, generate other events, and thus fire other rules.

3.1.5 Operations

Internally, operations are actually special cases of rules that are fired by an `INVOKE` event (either generated due to a user interaction or from a piece of code). Currently, operations must either be coded in `Tcl` or in `C`.

4 Implementation

This section describes version 0.91 of the *n*-dim prototype, currently being used by the *n*-dim group itself. This prototype is being used to "bootstrap" the building of *n*-dim itself; at the moment, the further development of the prototype is being done largely in *n*-dim.

Version 1.0 of *n*-dim is due for release in early 1993; the prototype implementation described herein is missing some key components of our conceptual design, which 1.0

[21] Various representations for the consequent are being considered and experimented with.

implements more fully. In particularly, the structure, projection, presentation split, contextual attributes, some of the the modeling-language machinery and the rule system are missing or not fully implemented in 0.91. Never the less, even with so limited a prototype, we have found our experience with using this (and previous, even more primitive) prototypes of the system to be, on the whole, very good.

4.1 Layers

The architecture of *n*-dim is layered and adheres to open systems philosophy. There are five layers in the architecture; from bottom to top (see Figure 6), they are:

- information modeling system (e.g. *n*-dim itself)
- object system
- a distributed applications layer
- a relational engine for information structuring and management
- the native operating system
- the raw hardware

The object system kernel is prototype based rather than class based. The utility of a prototype-based object system for engineering applications is well documented (the SPLINTER from Open University (UK) [28], and the ASCEND system from the EDRC [20]). From an architectural point of view, any object system can used for this layer. The restrictions on the type of object system is tied more to the domain of work rather than being an architectural limitation. In fact, it can easily be shown that one can implement any form of object-oriented environment (strict class-based *a la* Smalltalk, mixed classes and meta-objects *a la* CLOS, etc.) using a prototype-based one.

We have created an object-oriented environment around `Tcl` (an embeddable, small interpreter that is easily integrated into other programs [19]) called `BOS`, the Basic Object System. Due to the nature of `Tcl`, it is a relatively painless thing to move the implementation of something from `Tcl` to `C`; in effect, the `C` compiler is our "compiled environment", and `Tcl` (with its object-oriented wrappings) is our "interpreted environment". Currently, `BOS` version 2 is being implemented and tested, and contains many substantial improvements over `BOS` version 1, including a cleaner separation between interpreted syntaxes for methods and the methods themselves, through a virtual machine (in version 1, interpreted methods are written in `Tcl`). We have, in effect, used `Tcl` and `BOS` to prototype an object-oriented environment based partly on some of the ideas in `SELF` [26]. In effect, this is a hybrid environment, with compiled and interpreted components in different languages. We find such an environment to have many compelling arguments for it over `Lisp`-based environments and other available object-oriented environments. Arguments for this approach are beyond the scope of this document, but are articulated [17].

Architecture Layers	Current Implementation	Future Development
Modeling Kernel	n–dim	...
Object System	BOS	...
Distributed System	ISIS	...,OSF/DCE
RDBMS	POSTGRES,Informix.	Oracle,SYBASE,...
OS	Mach,Ultrix,SunOS, AIX,HP–UX	OSF/1
Hardware	MIPS,SPARC,HP–PA, RS6K	Alpha,...

Figure 6: Architecture and Current implementation

As has already been stated, the space of objects in *n*-dim is flat; i.e., all objects are stored only once no matter how many models "contain" an object. Hence, the overhead in using objects in multiple models is close to zero since only the the part link needs to be stored. Thus, the storage of objects in multiple models is significantly reduced. Note however, that the architecture allows for objects to be stored in multiple locations if required for efficiency; it is simply that it does not *require* multiple storage.

The distributed applications kernel in the current implementation is ISIS, a toolkit for building distributed systems developed at Cornell University [1]. ISIS provides communication facilities at different levels of granularity and network configuration, including situations where many local-area networks are interconnected via (relatively) slow, long-haul links.

The database layer has undergone several revisions, using several different relational databases. In the architecture presented above, one will notice that the database is *below* the distributed system functionality. In fact, this is currently how we have implemented access to relational databases; ISIS process groups are used to implement various pieces of the architecture, including the relational database. One thus access it by broadcasting requests to this set of process; we are thus independent of which actual relational database is used. The program responsible for answering requests is called the *back end*. There are currently versions of the back end implemented on top of Informix and postgres, with more versions being written as necessary. The back end is structured so that there is a generic, non-RDBMS-specific portion which uses a very stylized interface to call the RDBMS. Any RDBMS which a C API can be fitted into *n*-dim by writing three routines in C and linking together a new back end program.

It should be noted that the objects themselves are not stored in the database, but only the attributes necessary to search for objects (e.g. the intrinsic attributes; see Section 3.1.1, above). The objects themselves are stored locally in individual workspaces, or (possibly) in shared spaces if they have been published (and thus made immutable). In fact, this separation between the space in which objects are stored vs. the space in which attributes about them are kept for the purpose of search can be utilized to great advantage in scaling *n*-dim up for large (hundreds of thousands to millions of objects) applications.

The collection of a set of database processes and workspace processes is called a *cell*. A broad range of cell configurations is possible, from a single, centralized database with several users clustered about it (a small work group), to a totally distributed configuration with both central and localized components for individual users (entire organization or sub-organization, or clusters of smaller work groups). Studies will be conducted for the appropriate configuration based on network and load balancing requirements. At some point, it should be possible to experiment with the use of high-speed networks (such as CMU's NECTAR or BBN's Butterfly) depending on the needs of the actual engineering application. These are issues for further research in the development and deployment of the collaborative environment.

4.2 BOS: The Basic Object System

The motivation for BOS comes from a variety of factors, including:[22]

- A lack of generally-available *prototype-based* systems.
- Most available object-oriented systems are large, monolithic "worlds" which one must buy into as a whole; if one wishes to use different tools for building GUIs, accessing relational databases or trying out new ideas, life can be made difficult by all of the associated baggage.
- The lack of a generally-available object-oriented system that is easily *embeddable* in other applications, and which works on or easily ports to the maximum number of platforms with the minimum amount of effort.
- The need for a system which, in addition to the above points, allows for both interpreted and compiled methods, possibly written in different languages; the advantages of interpreted environments for fast prototyping has been widely discussed [13].

The need for prototype-based systems has been discussed in the literature in a variety of contexts [3] [4] [20]. Our efforts have been particularly informed by the work of the SELF group [26] and their arguments for prototype-based object-oriented systems as the most basic form of object-oriented system, from which any other kind of object-oriented

[22] The following sections on BOS taken from an unpublished manuscript that make a separate case for it, outside of the context of *n*-dim. However, certain aspects of BOS are critical to the understanding of *n*-dim's implementation and use.

system can be "grown". Further, our own work on *n*-dim, and the requirements in the domain of engineering in general have been a constant source of ideas and imperatives for us in this area [28].

BOS is a C-callable library that implements a basic data structured called a BOS *object*. In BOS an object is essentially a data structure: a named collection of slots. Inheritance is a semantic concept placed "over" the relationships of these data structures to one another. Calls are available to create and destroy objects, serialize them into and unpack them from binary byte-streams, add, remove and modify slots in objects, and, the most crucial, send messages to objects. These primitives are available both from C and from Tcl; in the latter case, all BOS objects appear as commands in the Tcl interpreter. Thus, the familiar syntax of

```
object message [arguments...]
```

is achieved from the interpreted level at no cost. In BOS version 1,[23] the Tcl interpreter's argument matching mechanisms have been used to simplify the implementation of the method invocation portions of BOS. In BOS version 2, the dependence on Tcl has been removed, and the system greatly extended and optimized for larger-scale applications. More information on BOS itself is available in [17].

We will attempt to briefly summarize some key points pertaining to prototype-based object systems and the use of BOS in *n*-dim.

4.2.1 Prototypes, Identity and Mutability

If one had to summarize in a single sentence the main difference between prototype-based and class-based object-oriented systems, it could be stated this way:

- A prototype is an instance plus its class(es).

In biological terms, every prototype has its own "DNA", with which it can "clone" itself; it not only contains the *values* of its slots, but it also contains the *definitions* of its slots.[24] By way of comparison, in a class-based object-oriented system, supposing one had a class for points in three-space, defined as a tuple of three real numbers, if you had the address of the starting location in memory of an instance of this class, but no pointer to the class object that had its definition, you could not make sense out of what was stored there[25]: to understand the object, you must refer to the class. In a prototype-based system, there would be a prototypical point in three-space with x, y and z slots, which you would clone to create a new point object. All one needs to know about the new point is in the object itself.

Generally speaking, one of the major implications of this difference is that in class-based systems, when you change a class definition, all instances of that class change. In

[23] BOS version 1.31 has been used to implement the version of *n*-dim described in this paper (0.91).

[24] or pointers to other objects from which it inherits definitions

[25] unless, of course, you knew *a-priori*

a prototype-based system, when you change a prototype, it only affects that object; any objects that may have been cloned from it before you made the change are unaffected. Every prototype is free to evolve on its own, and every prototype is mutable both in terms of structure and in terms of content. In fact, it is quite possible to clone an object and mute it to the point where it no longer has anything in common at all with the object you cloned it from. Unless the discipline of strict class-based systems is imposed in the creation of objects in a prototype based system, it is not possible to do type checking. In a prototype-based system where no such discipline is enforced, the system, when asked the type of an object, can at best provide a list of objects from which it inherits, since the object could have been modified after having been cloned from its "class". In spite of this limitation, it is just this mutability that makes prototypes so attractive for the early stages of development, when there is a sort of "soup" of ideas and features in which one is trying to pick out the relevant pieces and compose them into a first implementation.

In general, a purely prototype- or class-based system is not desirable. Even though the semantics of a class-based system can be implemented in a prototype-based one, the need for one over the other in different settings makes having a system which allows for both models desirable. For example, in geometric modeling applications, which might have to manipulate millions of points, surfaces, lines, etc., all of whose structure is guaranteed never to change, having each object represented as a prototype, with the mutability that implies, is both inefficient and undesirable. Philosophically, as well as pragmatically, there is not necessarily any need for each one of those million points to have its own *identity*, only its own *values*.

In `BOS` we have recognized that mutability during development and design, and efficiency during production use are concerns that must go hand in hand, and are not mutually exclusive.

The public interface to `BOS` mentions only one kind of thing, an *object*. Internally, however, `BOS` has two different representations for objects: as prototypes (the default), and as instances. A prototype object is, as has been discussed, mutable with respect to its structure. Instances, by contrast, cannot have their structure changed; only the *values* of their slots can change. All instances point to the prototype from which they were created, and contain in themselves no structural information, only values. One consequence of this is that, once a prototype has been used to create instances, when the structure of the prototype changes (e.g. slots are added or removed), all of its instances will change in a like manner; that is, the prototype serves as a *class* for those instances. `BOS` provides two distinct operations to support this functionality:

Clone. Cloning an object produces an exact copy of it. If the object is a prototype, the resulting clone will also be a prototype. If it is an instance, the clone will also be an instance.

Instantiate. Instantiation always creates an instance. If the source object is a prototype, an instances of that prototype is created. If it is an instance, the effect is the same as if it were cloned.

The two kinds of objects are indistinguishable from the point of view of the caller, with the exception that the primitives which change the structure of objects (add a slot, remove a slot) return errors when applied to instances. Sending a message to an instance may take slightly longer than sending a message to a prototype (one additional layer of lookup is required, e.g. following the pointer from the instance to its prototype), but instances also require significantly less memory to store, since only the values of the slots, and not their definitions, are required[26].

4.3 Object Storage (Workspaces)

Every user of *n*-dim has a *workspace* which is, conceptually, a single place where all of their (unpublished) objects are stored.[27] In addition, there is a *library* workspace, where all the published objects in a cell are available (they may also be available in other places as well - *n*-dim has the potential to optimize access to published objects in whatever way it sees fit, as they are guaranteed to never change).

An *n*-dim workspace finds out about other objects by broadcasting queries to the relational database (Section 4.4, below, describes the structure of this database briefly). What comes back is always a stream of unique object identifiers (e.g. the `ID` column). The objects named in this stream are guaranteed to either be

- owned by the sender, in which case they are stored in the sender's workspace, *or*
- published, in which case they are accessible by a broadcast to the library.

Objects are stored on disk in a binary hashed file, keyed by object ID, with the slots of the object stored as a binary byte-stream. All `BOS` objects can have their in-memory representation translated into a serial stream of bytes, and back again. `BOS` allows certain slots in an object to be marked as *ephemeral*, which means that `BOS` will effect this translation differently for those slots. Slots whose values are by nature temporary (a file descriptor, a temporary file name, a reference to another object that may only exist for a short time, etc.) can be marked in this way, in which case `BOS` will store a null value for the slot at this point in the byte-stream.

4.4 The RDBMS in *n*-dim

As was noted above, the contents of objects are not stored in the relational database; rather, structural attributes of objects, plus some additional information about `link` objects are stored in relational tables. The tables are structured as shown in Tables 1 and 2.[28]

[26]Caching and other optimizations can make the difference in speed of message sends insignificant.

[27]An individual workspace may actually be a process group, distributed over several machines; this is necessary in cases where, for example, specific objects must reside on a specific machine so that software licensed for that machine can be used on them (or, more correctly, their contents).

[28]Of course, in actually operationalizing *n*-dim, we take certain liberties, as long as they do not show themselves to any layer(s) conceptually above the one being operationalized. The table structure presented in Tables 1 and 2 are simplified for the purposes are illustration.

ID	TIMESTAMP	CREATOR	LANGUAGE	ACCESS	PUBLISHED
Unique ID	Creat. Time	User	Modeling lang.	Acc. Ctrl.	Pub'd.

Table 1: Columns of the OBJECTS Table

ID	SOURCE	TARGET	TYPE	MODEL
ID of Link from OBJECTS	... of src	... of trg.	type tag	container

Table 2: Columns of the LINKS Table

The ID attribute of an object is its unique, system-generated name. Links are cross-indexed from the LINKS table to the OBJECTS table. So, for instance, finding all of the links in a model is a single query to the database.

All access to the database happens via ISIS broadcasts. The database subsystem actually has two components:

- The watchdog, which is responsible for making sure that back-ends are running and for optimizing the configuration of the back-ends in the cell;
- The back-end, which actually answers queries.

Both of these parts are implemented as ISIS process groups.[29] The back-end is structured in such a way as to be easily portable to different RDBMS systems; in fact, only one module in the entire system is RDBMS-specific (the one which actually makes calls to the RDBMS' native API).[30] The system is designed in such a way as to allow multiple back-ends to exist in a cell. The watch-dog process group is responsible for optimizing the over-all configuration of the cell in terms of the number, placement and responsibilities of the back-end processes, as well as providing some higher-level query decomposition semantics (see Section 4.5, below, for a more detailed explanation of the full-blown query machinery). A protocol (which has not yet been fully implemented) for allowing back-ends to split themselves in two, move from one machine to another, and generally reconfigure themselves allows the watch-dog to adapt the configuration of the cell's relational database service to changing conditions. In addition, an intermediate query language and associated translator in the back-end is planed, although not yet implemented (currently, SQL is used as the query language).

Finally, we wish to experiment with allowing different types of back-ends and optimization strategies to be used in the same cell. At the very least, our present design calls for two types of back-end processes:

[29]Currently, *n*-dim requires the commercial version of ISIS to operate (version 2.2 or higher). We plan to have a version of *n*-dim that will work on the freely-available version of ISIS (version 2.1) by early 1993.

[30]Currently, we have implemented versions of the back-end using postgres and Informix. Ingres, SYBASE and Oracle are planned for 1993.

Stable. Stable back-ends are the base-line back-end functionality. They do not have experimental features in them, and are meant to be used by the majority of a user population. Published objectbases (e.g. library workspaces) will have their attributes serviced by such back-ends.

Experimental. Experimental back-ends might have extensions to the base back-end protocol in them, optimizations for particular kinds of searches, and other such features that make them undesirable for general use.

In general, it is expected that any given back-end process can and will die at some point, and the system (e.g. the watch-dog processes) should be able to smoothly recover from such a failure. Experimental back-ends are expected to crash much more often than stable ones. We wish to be able to configure a cell so that users who wish to simply use the system can co-exist with developers who are actively extending the system.

4.5 Generalized Searches

The combination of separate storage for objects and attribute information in the relational database provides for a maximum of flexibility and scalability in the system. Our initial experiences with the system show that this has been a good approach. However, without extension, the base-line architecture described in Sections 4.3 and 4.4 cannot handle queries over the *content* of objects. For instance,

```
Find me all models in language L not owned by me
containing part links whose target is
an object in language TEXT which are owned by me
```

is a textual rendering of a perfectly acceptable (although, in SQL, quite cumbersome) query. However, if one were to, in addition, ask that the `TEXT` objects in the above query also contain a certain string, or match a certain regular expression, one needs additional facilities to give an answer, as the contents of the objects (e.g. the value of a `TEXT` object) are not in the RDBMS.

In addressing this problem, we have also taken into consideration the more general problem in terms of the *type* of contents, e.g. textual, image, audio, video, etc. Every query is considered by *n*-dim to have two parts:

- A *structural* part, which can be answered totally in terms of the information in the, and
- A *content* part, which can only be answered by examining the *contents* of atomic objects.

Thus, in our small example query above, the structural part is the text of the query given above, which returns a stream of object IDs, each of which must then be examined to answer the content part of the query. The basic architecture is shown in Figure 7.

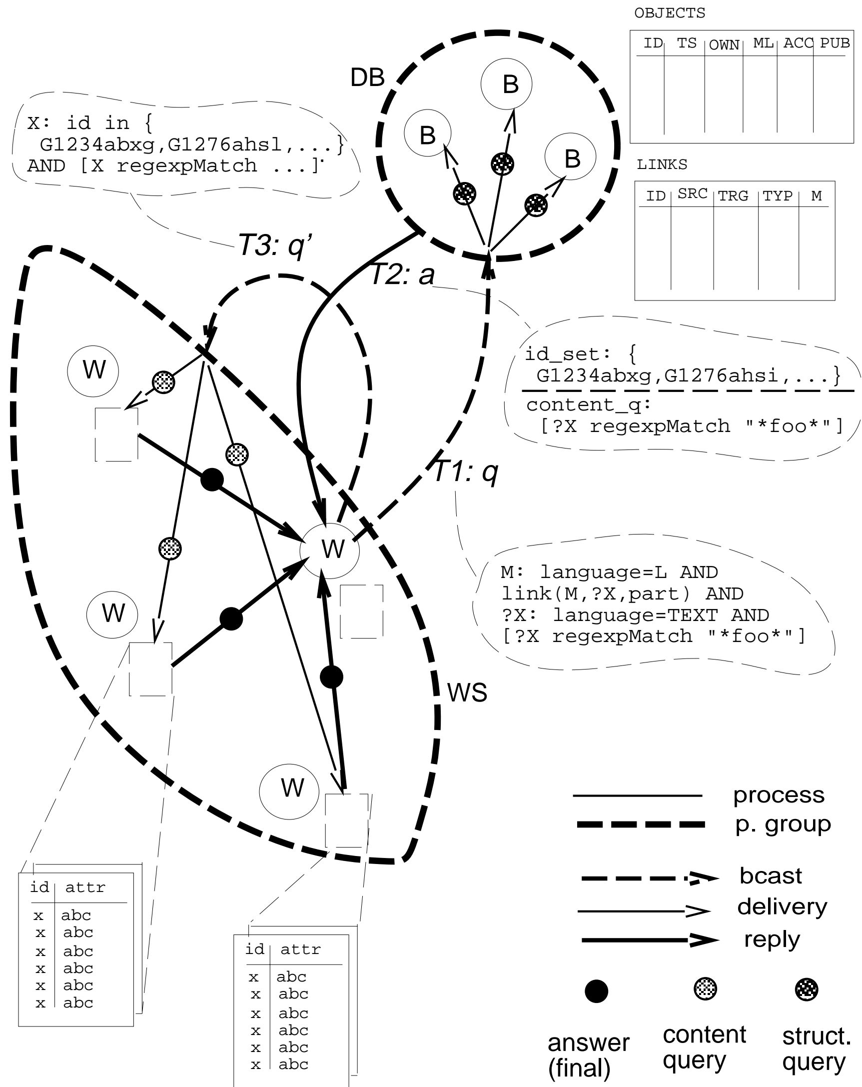

Figure 7: Search Architecture

Queries are sent to the database process group, *DB*, which applies some heuristics to it to determine in which order things should be done: structure first or content first.[31] The two parts of the query are processed in sequence; in Figure 7, the structural portion was answered first (e.g. broadcast to the backend processes, *B*, which is a subgroup of the *DB* group), and yielded a set of objects. *DB* replies with a compound result: the results of the structural query (`idset`), plus the content query that was "left over". These two things are put together to form yet another query, which is then broadcast to the workspace process group, *WS*; each individual workspace, *W*, answers for the objects in the set that reside in it, creating temporary indices of the matching objects on the fly and returning any objects so found.

Every workspace has associated with it something resembling a relational engine which can be used to build *indices* over its objects. For any type of data that one wishes to make indicies, four pieces of information must be made available to *n*-dim:

- An alphabet of symbols. For text, this is the ASCII encoding.[32] For other types of data, it may vary. For instance, some recent work at Xerox PARC [18] has been done in the field of indexing paintings by *gesture*. In this case, the alphabet is the set of gestures so derived;
- An access method. This is a piece of code that will return the raw data from the value of an atomic *n*-dim object in the form needed by the indexing and storage methods;
- An indexing method. This is a piece of code that, given a query over the alphabet (or compositions of strings of symbols from the alphabet), builds an index into the set of objects of the given type matching the criteria;
- A storage method. This is a piece of code that will store the results of a run by the indexer in some internal format on disk for later use. It also manages invalidation of indicies due to changes in the underlying objectbase.

In Figure 7, the content parts of the query have been broadcast to the workspace process group, which will build indices of their individual objects that match the query and return the results, which finally are the contents of the reply to the original query.

Our rationale for adopting such an approach is rooted in the critical considerations of flexibility and scalability. Although the kinds of information stored in the workspace object indices and the central relational database are similar (tables of attributes and values), the *volatility* of the information in the two places is inverted: attribute information about objects in the relational database is very small (less than a hundred bytes per object), and changes very slowly. The number of attributes is known, *a priori*, which makes building stable indices possible, and even imperative.

[31] It could very well be the other way around, depending on whatever heuristics are available at the time as to which strategy will narrow the search space fastest.

[32] Or some other, more recent standard, such as the ISO standard for encoding Latin text.

By contrast, a single text or picture object's value in a workspace may be several kilobytes in length, and may change very rapidly at any given time. Its attributes are not, strictly speaking, fixed, and any arbitrary number of indices which mention the object may be built and, as the objects change, invalidated over time.

Thus, from a scalability point of view, the processes that depend on the relational database as a shared resource (that is, all of the workspaces in the system) are not penalized for queries that involve searching over the contents of objects. As long as a workspace transmits queries only over the structure of objects (which, we must stress, we consider to be the most likely case), most of the machinery just described is not needed; the relational database can answer the query and return the results. Having minimized the amount of information stored in the relational database, we have also automatically minimized the amount of data that must be transmitted across the network, which is, after all, the most expensive thing one can do. If further refinement of a search is needed, the work to do so can be automatically *balanced* across the set of workspace processes. From a flexibility point of view, new types of data and new methods for accessing it can be developed, implemented, refined and improved over time without disturbing the existing set of mechanisms.

5 Applications and Extensions of *n*-dim

In the introductory sections, we noted that engineers use a variety of modeling and analysis procedures. It is our contention that no single representation or abstraction technique can be imposed on designers *a priori*, without severely limiting their ability to effectively model. We thus use a notion of conceptual information modeling that allows multiple classifications to be imposed over a corpus of information. Abstraction levels are imposed by the users, in whatever way they see fit.

Since designers use a variety of representations to model and analyze designs, depending on the types of functionalities required in the performance of the task, *n*-dim supports the incorporation of any tools designers find appropriate to carry out the above activities. As has been described in previous sections, supporting this integration capability and insisting that *n*-dim maintains its usability and scalability requires addressing significant problems in diverse areas such as: visual programming, distributed databases, graph grammars, human-computer interaction, and machine learning.

Given these objectives, it is clear that artificial intelligence (AI) is incidental to our approach; we are, however, using techniques from AI such as semantic network representations, rule structures, machine learning techniques, and other techniques and representations, as elements in our work. In so far as such, or any other (i.e., relational databases, hypermedia, graph grammars, etc.) techniques can be used to empower the user to organize, conceptualize, and reason over (including model) information, they are useful to us.

Although our work focuses on enhancing the support for informal modeling and analysis, we also allow for easy integration of formal modeling and analysis techniques. This allows *n*-dim to benefit from research on numerical modeling and analysis developed

within engineering disciplines as well as from research on symbolic modeling and analysis.

While design is a social process, it also takes place in a larger social context. Thus, two types of hurdles need to be overcome in applying our technique to real life problems: organizational and technical. Our contention is that the organizational is more important than the technical: Seemingly sound techniques fail constantly in practice due to lack of attention to organizational issues. Our development approach—participatory design and evolutionary prototyping—is geared towards alleviating this problem [21], while the techniques implemented in *n*-dim are meant to provide designers the ability to model and analyze their organization, the interactions with their peers, and the flow of information within the organization.

n-dim has been conceived to facilitate modeling starting from the initiation of a design process and continuing throughout the life-cycle of the artifact [25]. The third generation of *n*-dim is currently built in a participatory evolutionary prototyping mode: we encourage users to use the tool and participate in its development; we use it to model and implement its design in several ways, including issue-based models (like gIBIS, [6]), models of the actual implementation of the software (decompositions in terms of class hierarchies, functional requirements, documents, etc.) and other kinds of information; and we introduce changes incrementally, rather than abruptly.

5.1 Modeling and analysis with *n*-dim: An example

n-dim can provide support for a wide range of modeling and analysis activities. This section demonstrates this variety as manifest in designing with *n*-dim. We show that a significant part of design relies on informal modeling and analysis activities that, in turn, have a critical impact on the final product. We illustrate these ideas through an example of designing a hypothetical product: a computer that can be carried by an operator along the Alaska pipeline to gather information about the conditions of the pipe.

The abstract description of the product just mentioned is sufficient for the designers to start modeling it. Figure 8 shows several models created by the designers. The first model, `customer specs` built in the `Universal` modeling language includes an object `notes` that contains the textual description of the customer's specification.[33] model.

The model includes an initial structured description of the textual specification. The highlighted objects constitute an abstract model for searching through previous designs. In order to operationalize such a search, previous designs must have been classified in many different ways (in this case, by function and various properties, which also may be classified separately). Such classifications would have been created over time by both human designers and, potentially, with the aid of computers.[34]

The search carried out by the designers is a classification-based analysis of previous designs that allows them to retrieve the relevant cases, which may (and, in this example,

[33] `Universal` is a built-in language that places no restrictions on the user; any kind of object or link can be put in a `Universal`

[34] The authors are experimenting with the use of natural language and machine learning techniques to aid in the building of such classification structures.

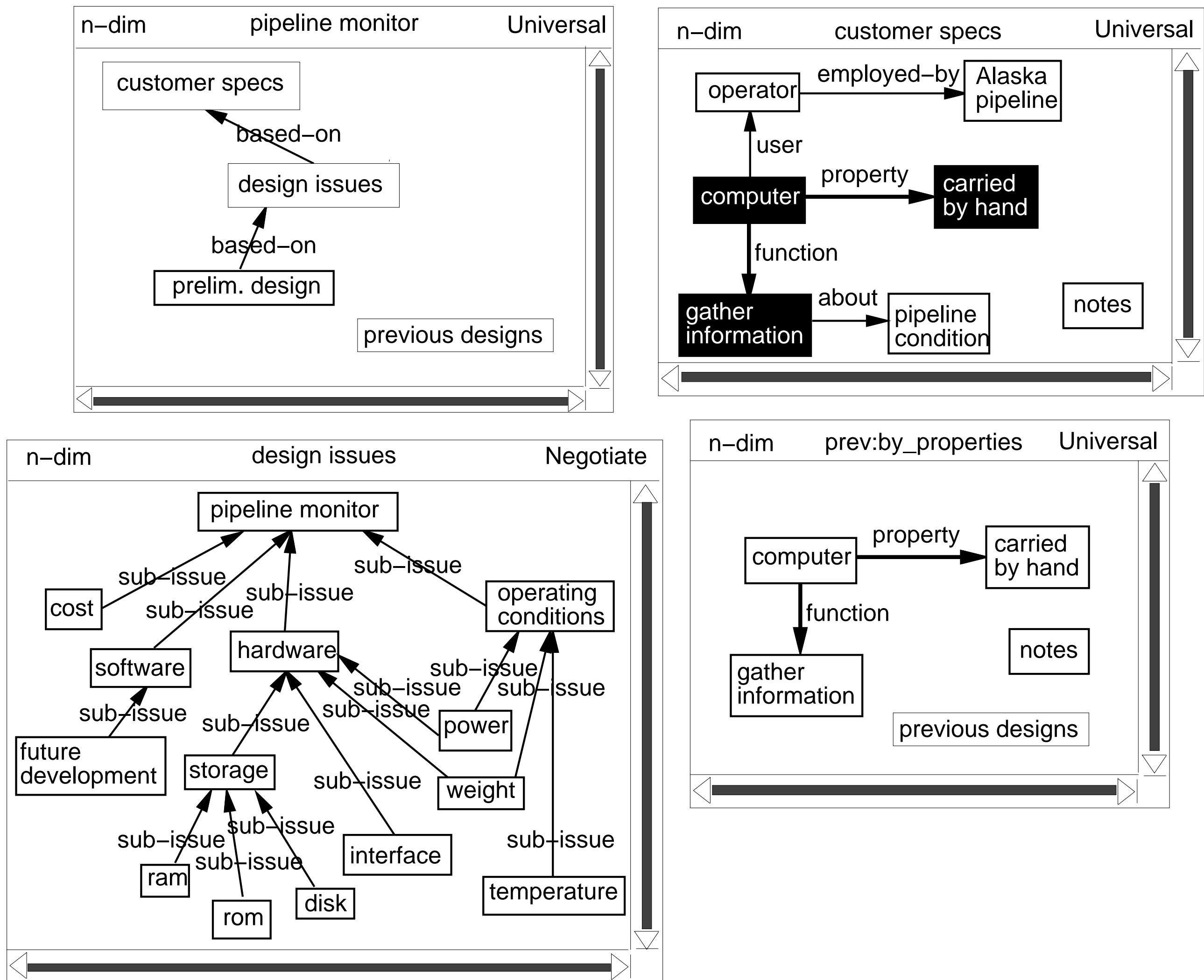

Figure 8: Example, part 1

will) serve as prototypes. The designers found that the results of this search were useful, and so decided to save the query, results, and some annotations in a separate model so that future designers (including themselves) would be able to understand the context of the design currently being carried out.

Figure 8 also contains a simple model of the preliminary design called `pipeline monitor`. It includes the `customer specs` model just discussed and simply outlines that the preliminary design is based on the design issues derived from the customer specifications. It also includes a `previous designs` model that will be used later in the design (see Figure 9).

The `design issues` model, created in a `Negotiate` modeling language (a variant of `gIBIS`) depicts the critical issues of the present design and their relationships. This model can provide input to the functional decomposition or to the tasks assigned to different designers. Note that this model could be the product of discussions between the designers on the present problem, but could also be borrowed from one of the computers retrieved in the search with some relevant modifications.

In Figure 9, the designers are looking at the previous designs found in the last figure, attempting to solve their problem by taking pieces of other designs and composing them into an initial cut at solving the present problem. None of the previous designs found will suit all of the needs of the present situation, because the issues involved in the cases found were slightly different (i.e. performance was more an issue than operating environment or weight). Of the designs found, two look like they might be able to be modified to meet the cost constraints. The designers realize, by searching through parts catalogs in the system, that another kind of memory could be used in the present design, which had not been used in any previous designs of this kind. Further, it appears that if this memory were used, it would have the dual effect of bringing the cost within range, as well as satisfying (possibly with some sort of sensitivity analysis) the other major criteria. An initial decomposition of the current design (`pipeline monitor`) is composed by copying the relevant pieces of the previous designs and the new memory into a part decomposition model.

Note that this illustration does not attempt to present the entire spectrum of queries, analyses, information-gathering and other activities that would no undoubtedly be required in a real use of *n*-dim. Rather, what is shown is, at a simple level of abstraction, an example of how designers could go about narrowing a potentially enormous space down to a plausible set of alternatives.

Thus far, the designers followed an unstructured sequence of modeling activities to create an artifact. They progressed from very abstract models to the creation of a model that contains some previous designs. In this illustration, without the use of a single formal modeling and analysis technique, we have shown how the designers could committed themselves to designing a variant of previous designs.

In Figure 10, the designers have retrieved a simple cost analysis model from a library of such models, which takes into account only very basic parameters, in this case, the cost of the power supply, PC board, disk, memory and a general factor for other costs. In the `Simple Cost` model, those subparts might, in turn, be other models that accumulate the estimated cost by looking at other subparts of the component. The designers copied this simple cost model and made linkages between the components they chose and the cost

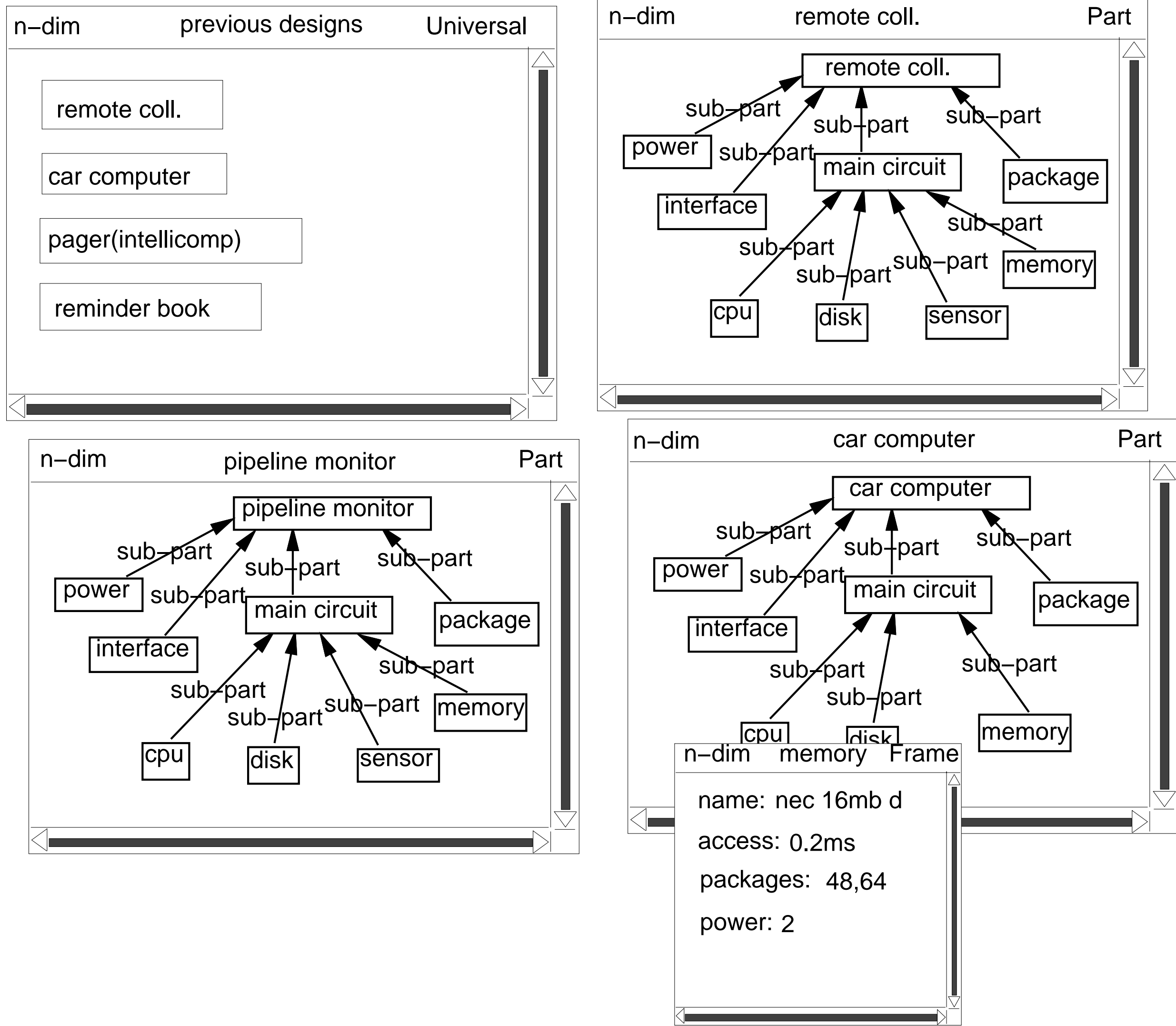

Figure 9: Example, part 2

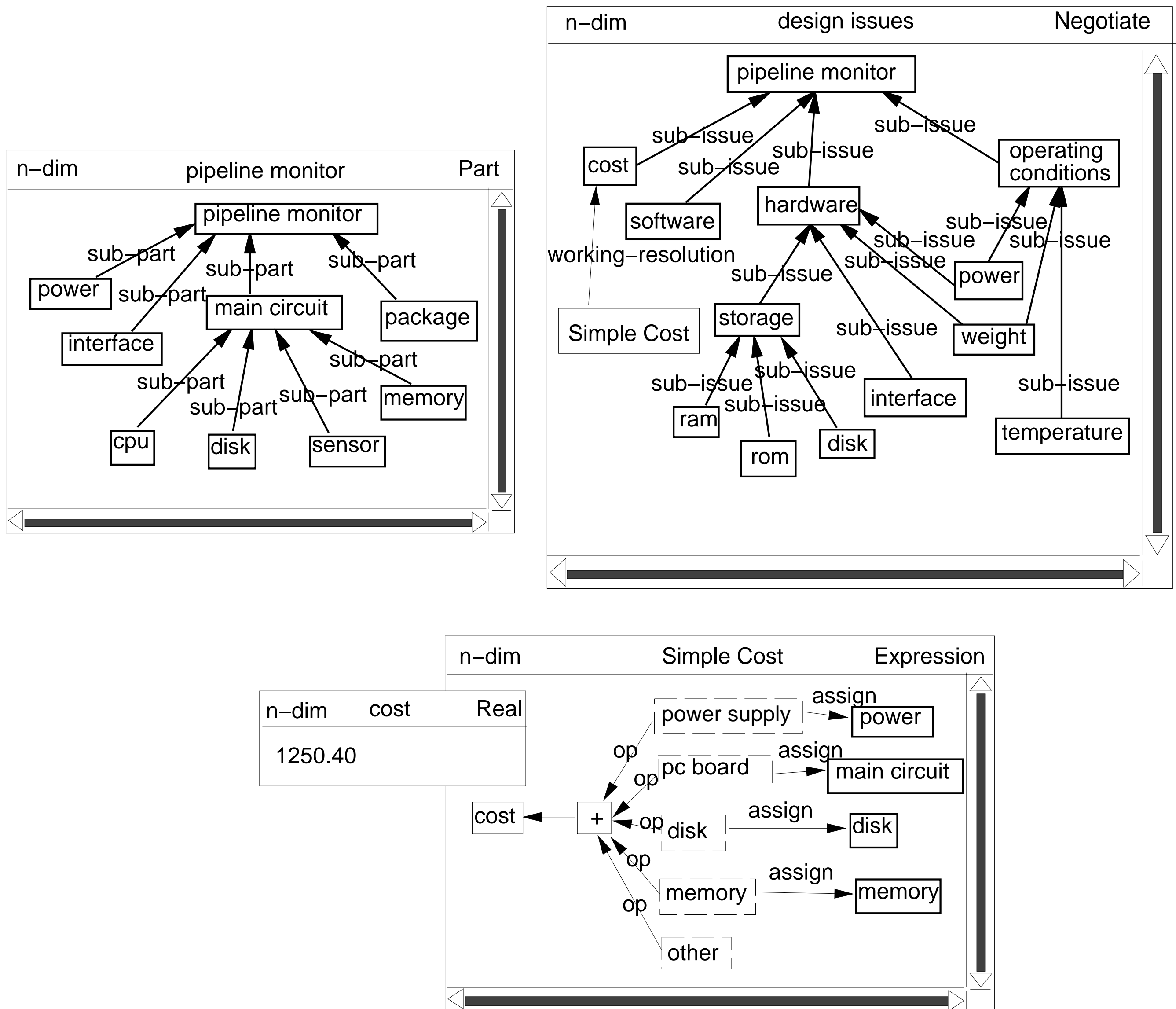

Figure 10: Example, part 3

calculation models. The `Expression` modeling language has rules in it for attempting to map values along `assign` links, and would raise an error if it were not possible, e.g., if the `power supply` sub-expression needed a value that was not present in the `power` part. Such an *n*-dim modeling language is useful as a crude tool for doing simple calculations, and for prototyping more complicated types of expressions.

At this point, the designer has decided that this is a good enough design to start working on in more detail. The `design issues` model has a `working-resolution` link inserted into it between the `cost` issue and the `Simple Cost` model, by way of justifying the decision. The designer now has to go into more detailed types of analysis and may require the use of traditional formal modeling techniques which can be incorporated easily into *n*-dim. In addition, as the design progresses, the issues involved in the design may change, new sub-issues might emerge through interaction with the customer, etc.

After completing the design, the complete set of activities of all the designers is remained recorded in *n*-dim. All the models, with their assumptions, are available in the system and can be used in future design situations. Once the product enters the production and usage stages, additional information is accumulated about the product.[35] The designers can further detail their design models, at least with annotations, with critical information that validate their assumptions and design decisions. This information is the result of yet another analysis that is critical for future designs.

5.2 Tool Integration

In engineering design, a large number of complex programs have been written to support various analysis and design functions. Studies of current practice in engineering design reveal that one of the key problems impacting quality and productivity in design is that of dispersed and loosely coupled information and design tools. Despite networked computer infrastructures and sophisticated interfaces to many individual design systems, most computer-based design tools remain primarily stand alone in terms of their interoperability with other tools and, of greater importance, in terms of their integration with the complex web of information that constitutes an evolving design.

Therefore, if *n*-dim is to support the integration of design management with design, enable the capture of design histories and the reuse of designs, the *n*-dim environment must address issues of tool operation and integration. We can expect that designers in any engineering design domain will require access to a variety of analysis and synthesis tools. At all stages in a team-based design process, a computer based information infrastructure for design should enable both the operation and interoperability of tools - that is, it should allow designers to transfer data from one tool to the next. In addition, the environment should allow one to state and retain the interrelationships among the input and output to the tools, document what problem is being solved and why, attach memos on the implications of the results, and generally annotate the use of design tools as desired.

[35]In addition, even at the early stages of design, simple models describing the base design can be given to manufacturing engineers, who can give their feedback and raise issues of their own.

5.2.1 Levels of integration

Capturing Input/Output of Decoupled Tools As a first step, at the simplest level *n*-dim can be very useful by just enabling the capture of the design products of tool usage including both inputs and outputs - these could be files of data in various forms or images of graphical output. At this level, tools need not be integrated in the environment or even invoked from within *n*-dim but their inputs and/or products can be linked into the design modeling environment, browsed, annotated, and and reasoned about in the context of the overall web of design information.

For example, the ABLOOS [7] system for layout synthesis can be adapted and applied to layout problems in various domains.[36] Since a given designer or design team may be running ABLOOS on problems from different projects or domains or for multiple layout problems within a project, it would be most helpful to use the *n*-dim modeling capability to organize the results, link the results to particular design sequences, documents or research papers, to make annotations or comments, and so on. (see Figure 11)

As another example, consider the current methods and tools for object-oriented analysis (OOA), design (OOD) and programming (OOP). Capturing the output of OOA/OOD tools such as OMT [22], or OOSE [14] as images would allow designers to preserve and annotate versions of requirements and object models (see Figure 13), or link those models to design rationales or alternatives that emerge in a design issue base (*n*-dim's Negotiate modeling language enables the creation of IBIS-type [6] issue bases for communication, discussion and resolution of design issues. Current implementations of OOA/OOD tools to support OO development methods are immature and rapidly evolving. At this time they do not support maintaining versions of requirements models, object-models, etc. These models must be overwritten as revisions are made in the iterative development process. Hence there is no direct support for preserving alternatives or the development history [37]

A convenient capability that is simple to implement, but non-essential, to the first and perhaps even the second level of tool integration is to create a model for running a tool out of *n*-dim, e.g., a Run-ABLOOS model which when opened brings up the ABLOOS system. This combined with other facilities of *n*-dim makes it very convenient to collect outputs from tools and interrelate these to input files of other information that can be indexed from *n*-dim.

As a refinement of the tool product capture level of tool integration the captured output of tools would not be static but would support interaction. That is, when a designer opened the product "file" or model (for instance, as models in *n*-dim) the tool that produced the output would be activated. The designer could then dynamically interact with the output, change it, save the changes, etc. This type of capability is similar in certain respects to the "Publish and Subscribe" services available in the version of Microsoft Word and related

[36] ABLOOS is a generative design system to support layout in in multiple domains including engineering and architectural design domains. It supports a complimentary partnership between human and computer design agents. It enables a cooperative design process based on hierarchical generate-and-test that is partially automated and interactive.

[37] In a conversation at OOPSLA92, Ivar Jacobson of Objective Systems stated that a future version of their tool Objectory, which supports the OOSE method, would support saving versions of models and would contain some kind of issue base.

applications for document production under System 7 on Apple MacIntoshes. [38] *n*-dim already supports this capability for certain types of tools such as text editors, and intends to extend it to other types of tools where feasible. [39]

In both of the above examples, designers can already gain significant advantage by using the *n*-dim environment to reference and index their use of tools in design practice with only minimal integration of the actual tools. This level might represent a typical beginning in a progression of experimentation with a tool and its gradual integration by degrees (through the levels described next) into the *n*-dim environment.

Encapsulation of Tools. At this level, *n*-dim will directly support the operation and interoperability of tools integrated. There are two basic alternative approaches to tool coupling/integration:

1. multiple translators between pairs of tools or sequences of tools; if there are n tools with their own representations, this can involve as many nn translators.

2. use a central representation and encapsulate the tools - by means of wrappers/translators on the tools - to exchange input and output with the central representation; for n tools this approach requires a maximum of n wrappers.

Several commercial and academic environments for tool integration exist.[40] Most of these systems suggest placing an encapsulation around the tool. This encapsulation carries out the functions of: (1) translating data kept in a central repository into the form needed by the tool to carry out the task to be requested of it, (2) a triggering of the execution of the tool, and (3) a capturing of the output from the tool with a translation of that output to a form that can be put back into the central data repository. The encapsulation is typically written in a language like `C` or `C++`. Also these systems typically support storing a tool invocation description - where it is located in the computer network and the protocol to make it execute - in the central data repository. A designer need only point at that record and indicate where the system should look for the source data and where it should place the results to get the tool to execute.

At a higher level of abstraction, combinations of tools can become a single tool. Jacome and Director [15] suggest another level of abstraction which is to place a model which describes what a tool can do into the central data repository. Another tool can then use that information to decide which tools to use to accomplish a higher level task. This work also suggests there are abstract descriptions of the type of data to be transferred between the tools. The translation routines for the data input and output then are aware of these abstract data types. In this approach, the system will typically have alternative

[38]In *n*-dim, if the tool product were published, a copy would have to be made or the product would be "read-only" - see section 3.1.2. In this respect *n*-dim differs fundamentally from the semantics of "publish" as utilized by MSWord where changes are deliberately inherited.

[39]There are some problems in this area shared by MSWord, *n*-dim and everyone else - e.g., what if the application is not available? what if it is incompatible version? how can tools be invoked remotely but bring up the screen(s) of interest locally fast enough, etc.?

[40]e.g. PowerFrame [9], CadWeld [8]

combinations of tools to accomplish the same task. The system, often with user input, chooses which to use.

Currently, most tool integration frameworks are specialized for certain domains such as e-cad and opt for the second approach to encapsulation. However, these go only part way towards resolving the problems mentioned above with the main focus of enabling the interoperability of tools. *n*-dim's concept for tool integration, and to a limited extent certain commercial modeling environments for engineering design such as Wisdom Systems "Concept Modeler" and Metis Software, expand on the idea of tool interoperability to include interconnecting input and output from tools with the network of information, decisions and broader concerns of the evolving design.

Currently we are experimenting with the encapsulation level of tool integration into *n*-dim for the ABLOOS system; this example, and some experiments at other levels of integration, are discussed in Section 5.2.2. The hypothesis is that these systems could be more effectively used if the input for these programs can be extracted from the modeling space (*n*-dim), and the results returned to the modeling space. By doing so some potential advantages are that

- the interface burden is placed on the *n*-dim rather than on the support tool/program, so that the support program can continue to be used unchanged;
- the support program will be interconnected with the higher level tracking and modeling of the evolving design - the overall "web of information"; as a consequence, for instance, users that require the generated information receive it via the *n*-dims change notification mechanism;
- a level of abstraction is achieved from the specific program/tool implementing a support function - when the support function can better be performed by a new program or tool the old support program can be retired .

Replication of Tools For certain tools significant advantage may be gained by rewriting the tool and embedding it within *n*-dim. For example, as mentioned above there are a variety of OOA/OOD methods centered around making combined text and graphical models for different views of the system under development. These are typically centered around the creation of graphical class and object models with rectangles or "roundtangles".[41]

These models show the definition of and inheritance between classes and the associations between objects including their composition structure in the system.

Many of these methods are supported by tools for creating these graphical models. However, many object-oriented system developers currently prefer to use just standard drawing tools such as MacDraw because the OOA/D methods and tools are immature and rapidly evolving. At this time, they also only provide a minimum of support for consistency checking across models, translation between models, versioning, annotation,

[41] As an exception Booch [2] uses stylized "cloud diagrams" for classes which many developers find hard to use because they are hard to draw without a dedicated tool.

or the customization or creation of new relationships in or between models by software development teams.[42]

In principle, the generic modeling capabilities of *n*-dim may be considered to be a superset of the capabilities of the current OOA/D tools. Therefore, the overhead in replicating one of these types of tools in *n*-dim may be reasonable (not to underestimate the time and resources required to produce the interfaces and performance of more robust OOA/D that are commercially available). In addition to augmenting the advantages cited for the first level integration of this type of tool in *n*-dim - support for versioning, annotation, design history maintenance, linking to discussion and issue bases - there are additional benefits to be gained from the deeper integration at this level. Additional supporting models and modeling languages may be constructed in *n*-dim to automatically extract and record from requirements and object models metrics for productivity and quality assurance in object-oriented software development. For instance, various models for review, critique and evaluation can be made and linked to the different object-oriented system view models - requirements, object model, etc. These models would record and automatically calculate the number and kinds of changes

- from one object model to a revised version;
- made by different development team members;
- made on different projects using the same or different development methods.

We are just beginning to consider and experiment with the possible replication of certain tools within *n*-dim. Many of the issues are still to be determined and it is too early to evaluate the effort and significance of this level of tool integration. In general, characteristics of candidate tools for this approach appear to be:

- tools whose representations and operations are similar to or easily duplicated by the generic modeling capabilities of *n*-dim
- tools whose functionalities can be significantly augmented by replication in *n*-dim, or whose existing functionalities are enhanced or extended by being "embedded" in an information modeling infrastructure.
- tools of for which the overhead of replication is modest

5.2.2 Experiments with Tool Integration

We are currently exploring the issues and potential advantages/disadvantages of integrating certain EDRC design systems and other commercial systems, written in various languages and with varying interfaces, into *n*-dim.

[42]There are several products rapidly appearing that provide generic node and link style object modeling capabilities and rules for customizing the structure and appearance of the models to adapt the tool to a particular modeling method.

ABLOOS The encapsulation of ABLOOS within *n*-dim enables designers to easily reformulate layout problems for input to ABLOOS at a high level in *n*-dim. Designers are also able to capture partial and complete layout solutions as output and link these to the respective formulations which may vary in the list of objects to be placed, the attributes of the objects, their decomposition, constraints on their placement, etc.

Figures 11 and 12 contain screen dumps of models illustrating the integration of ABLOOS and an example of its operation from within *n*-dim. Figure 11 shows a cascade of opened models starting in the upper left with a model titled ABLOOS_ProjectDocumentation which is a history of issues and design decisions related to this tool encapsulation experiment. Below that there is an ABLOOS_ws (for ABLOOS workspace) model selected (highlighted) within user "bs36's" *n*-dim workspace. In this example, ABLOOS_ws is shown opened and contains models for applications and papers.[43] The applications model contains models for applications of ABLOOS in two (widely different) domains, computer board layout and building layout. The computer_board_layout model (middle right in figure) contains models for two different board layout projects, dec - a power supply board, and vuman - the cpu for a portable (wearable) computer for viewing blueprints in the field. Additionally, computer_board_layout contains a model which serves as a library of circuit board component types from which a designer can instantiate components (and add new component types if needed); the designer may need to edit the property values of instantiated components to suit a particular board layout problem.

dec contains a model of a specific board layout problem dec1 and a model for running the ABLOOS system (abloos-run-dec), customized for the particular application, from within *n*-dim. The model dec1 contains a number of models of alternative problem statements (formulations of the dec1 board layout problem) dec1_ps1, dec1_ps2, etc. It also shows that for dec1_ps1 a corresponding input file model has been generated (in CommonLisp) and a results model, results_12/21/91, was created to collect products from running ABLOOS on the dec1 board with this particular problem formulation. The results_12/21/91 model is shown open containing other models in which intermediate and final layout results have been collected by the designer; in the solutions model, graphical and symbolic (tool readable) representations of each layout solution may be indexed. The upper right shows ABLOOS running on a "Power-board" layout problem - a starting layout is shown, with preplaced components around the edge of the board. With the modeling capabilities illustrated in this example, a designer is enabled to capture and index whatever part of a layout design history that s/he finds useful including project descriptions, documentation and respective input to and output from ABLOOS.

The modeling described so far for ABLOOS could all be accomplished at the first level of tool integration, however the designer would be required to manually create input files and link them to problem statements. Figure 12 illustrates the tool encapsulation level of the integration of ABLOOS. The board layout project represented by the model dec1 is shown again opened in the upper left corner. The rest of the models in the

[43] ABLOOS is a research design system and the papers model includes links to publications which are interrelated to results of applications, pictures of solutions generated, etc.

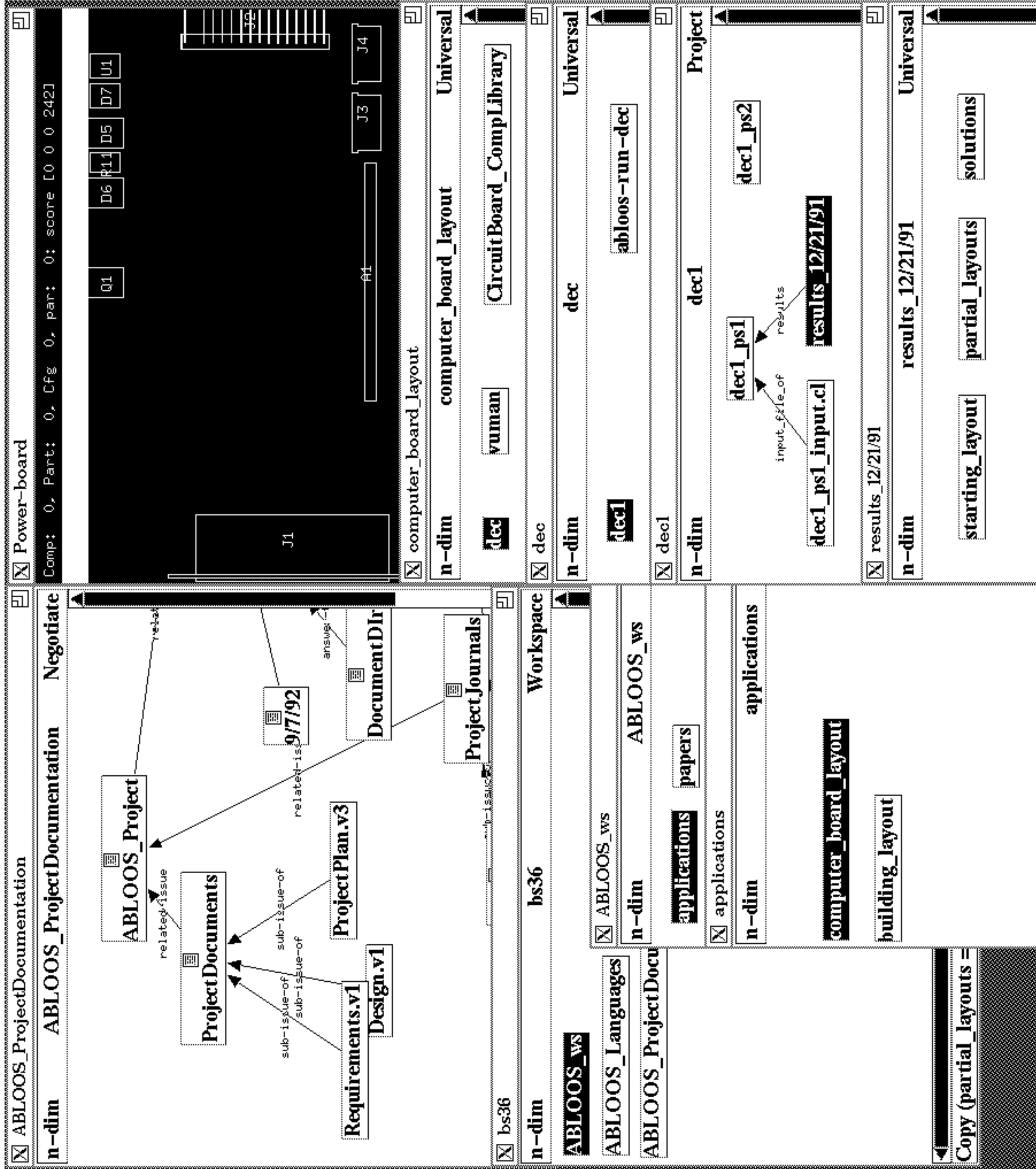

Figure 11: Encapsulation of ABLOOS into *n*-dim (part 1)

Figure 12: Encapsulation of ABLOOS into *n*-dim (part 2)

figure illustrate a (simplified) view of using models and modeling languages customized for ABLOOS to build a structured problem statement model (from a library of components for board layout domains) and to *automatically* generate the corresponding input file to run the problem in ABLOOS. The problem statement model dec1_ps1 reflects the *layout problem formulation* specified by the designer in terms of domain components, their decomposition, order of insertion, and so on. In this example, the layout of components by ABLOOS is split into two phases. The capacitor component in the model phase1_components was copied from CircuitBoard_CompLibrary (lower left), and its property values edited (middle right, in the CAPACITOR-10-QT039-C1 model). When the designer has completed structuring the problem statement s/he may select the problem statement model (e.g., dec1_ps1), and choose *GenerateInputFile* (not shown) from a menu in the "Project" modeling language (see the dec1 model, upper left in figure). This will invoke an ABLOOS translator within *n*-dim to automatically produce the corresponding *input file* in CommonLisp, readable by ABLOOS. The system created input file dec_ps1_input.cl is shown in the lower right opened within a text editor, and the upper right corner shows the ABLOOS system generating layouts based on that input file.

The ABLOOS encapsulation is currently limited to the generation of input files. Additional products of this tool encapsulation experiment are:

- an issue base of the design decisions made by the project team in developing the ABLOOS/*n*-dim encapsulation. This may be a useful reference for future tool encapsulation efforts or for the extension/refinement of the current effort at a later time. (See the model ABLOOS_ProjectDocumentation in the upper left of figure 11.)
- a browser of the code produced - e.g., new modeling languages created. This also should prove useful for understanding the implementation of the tool encapsulation for future reference. (This model is shown (unopened) in figure 11, lower left corner within the workspace of user "bs36").

Other experiments There are several other on-going efforts at tool integrations at various levels in *n*-dim. In fact, part of the every-day work of the group has consisted in creating small, on-the-fly tool integrations using simple shell scripts and programs to glue together external programs (editors, text processors, modeling systems) and *n*-dim. A simple example of this is the way in which the products of the OMT modeling tool [22] have been integrated into *n*-dim. A *file pointer* object[44] is used to name files containing OMT models. The user has told *n*-dim that, when such pointers are opened, a script should be run that will arrange to execute OMT on the given file (see Figure 13).

[44] This is a pre-defined low-level object type in *n*-dim that contains the name of a file in the filesystem. Using such objects, the user can, at the very least, *point* at a file in the system, even if its contents are unintelligible without the aid of some other program. If the file is executable (either a script or a binary program), opening such an object results in that program being executed. Otherwise, the user can give *n*-dim hints about what to do with certain kinds of files. This mechanism is still very crude, but even in its current state is one of the most useful features of the current *n*-dim prototype.

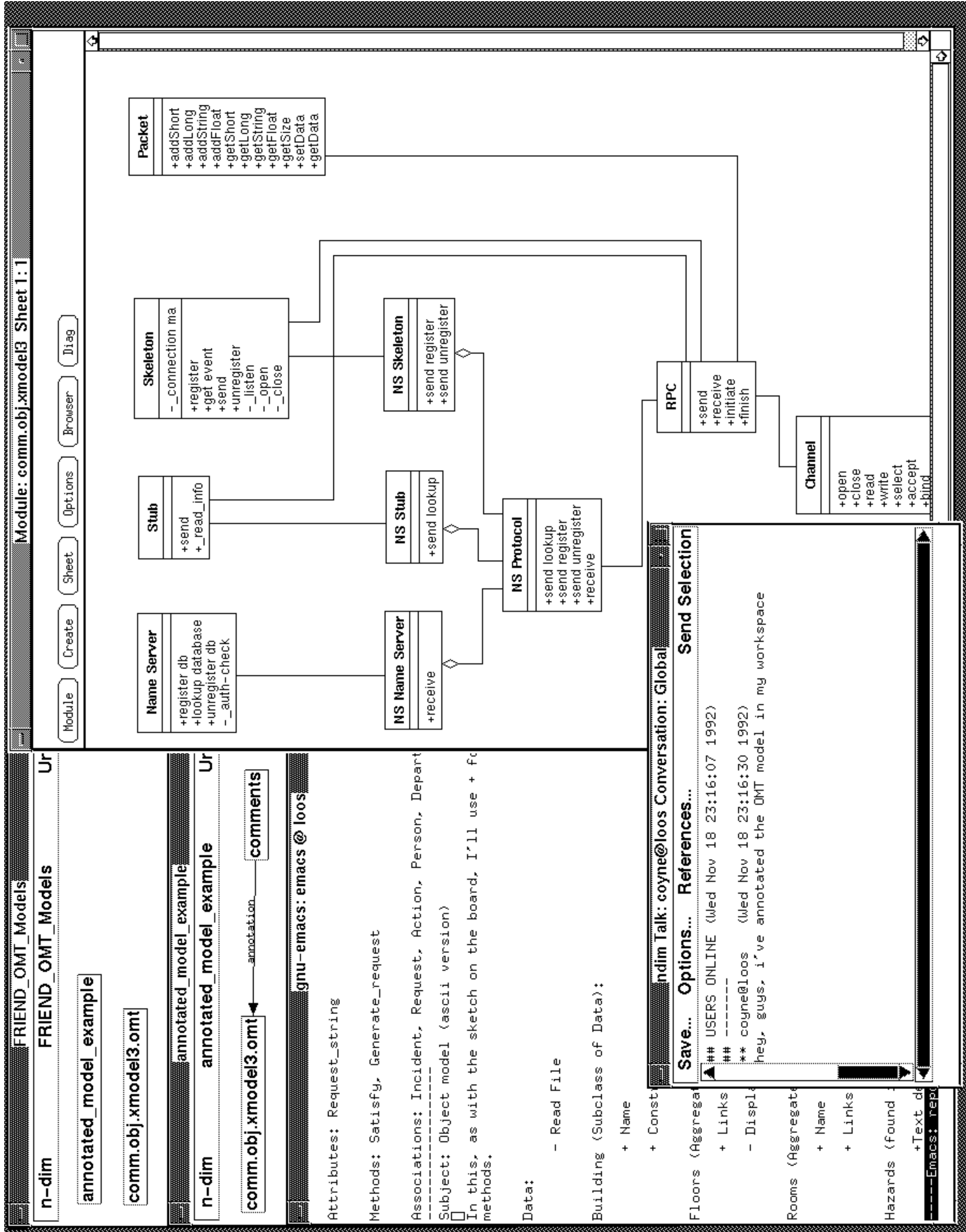

Figure 13: An OMT Model Encapsulated in *n*-dim

In addition, in this example, the `OMT` model[45] has been included in another model and annotated with a textual object. This action may be broadcast (as illustrated in the figure) to all currently active *n*-dim users via the *n*-dim talk window.[46] Already, with only minimal effort expended on the creation of the script that runs `OMT`, a large improvement in the ability to communicate (and negotiate about) structures created in this external tool has been gained. In Figure 13, for instance, an `OMT` model has been pointed to and annotated inside of an *n*-dim model, and other users of the system have been told that such an annotation exists. Such a capability already goes a long way towards alleviating communication breakdowns and errors that can occur; for instance, we observed that a group of students attempting to use `OMT` for software design in a class had to resort to drawing ASCII versions of parts of `OMT` models within computer bulletin board and email traffic, resulting in large and ungainly messages. The ability to simply pass references can be quite a powerful tool in itself.

Finally, an on-going effort in the integration of the `ASCEND` system [20] into *n*-dim is being carried out by the group.[47] This is a system that allows for the construction of large, equation-based models in a declarative, object-oriented fashion; the system compiles the declarative version of the model into an internal representation and chooses the appropriate solver for the task. Libraries of models can be built, used and re-used.

6 Summary and Future Directions

This paper describes work in progress by the *n*-dim group at the Engineering Research Design Center at Carnegie Mellon University. Although our experiences and reactions from industrial collaborators have been extremely positive, we are still in preliminary stages of research and deployment of *n*-dim. Some topics not covered in this paper, but which deserve mention include

NLP. Members of our group have been experimenting with and implementing natural-language processing tools for creating thesauri of terms in large corpora of text relevant to a particular domain. Such thesuari are then used to generate indicies of similarity among documents, and to aid in building conceptual networks describing the domain. All of these activities are being pursued within the framework of *n*-dim, both to model the conceptual structures thus uncovered and to model the relationships between individual documents, documents and concepts, and, eventually, between different domains. Experiments are being conducted in the areas of large- and medium-core power transformer design and risk assessment in software design.

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[45] Or, to be more precise, the *n*-dim pointer to it

[46] This facility is currently very limited, and allows for simple textual messages and references to published objects to be passed around by all active users of the system.

[47] It should be noted that one of *n*-dim's philosophical "parents" is the `ASCEND` system, and the inter-linkages of ideas, designs, philosophy and structure goes back to the earliest days of *n*-dim.

Multi-media. We believe that *n*-dim could provide an ideal environment for structuring information in multiple media, and for providing a uniform way of accessing and searching over such information (as the architecture presented in Section 4.5 implies). We are actively pursuing incorporating multi-media objects such as video data streams into *n*-dim.

Modeling standards. Several standards efforts that relate to information modeling are being constantly monitored, and efforts to implement linkage between those standards and *n*-dim is ongoing. Standards such as SGML (and, thus, HyTime) and PDES/STEP, as well as other related efforts are included in this.

Z3950. The draft ANSI standard Z3950 describes a protocol for enabling access to bases of information via natural-language-like queries in plain text. We are pursuing the integration of this protocol into *n*-dim to provide access to Z3950 databases from within *n*-dim. One such database is the Carnegie Mellon University online library catalog system, which includes bibliographic databases and other information. It is our goal to be able to our library seamlessly from *n*-dim by the end of 1993.

Inter-cell linkages. We expect to deploy a number of *n*-dim cells in 1993, and intend to use some of them[48] as a basis for experimenting with wide-area, *n*-dim object bases. Certain aspects of search and the rule-system are impacted by such a situation, and extending *n*-dim so that, as much as possible, "reasonable" semantics are preserved in such a situation is an open issue.

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